LEGISLATIVE COUNCIL
PANEL ON DEVELOPMENT

Consultation on Introduction of Seismic-resistant
Building Design Standards in Hong Kong

PURPOSE

This paper informs Members of the outcome of the consultation with stakeholders of the building industry and the public on the introduction of statutory seismic-resistant building design standards in Hong Kong, and sets out the proposed way forward.

BACKGROUND

2. We briefed the Subcommittee on Building Safety and Related Issues of the Legislative Council Panel on Development on 11 June 2012 [LC Paper No. CB(1) 2099/11-12(03)] on a proposal to consult the building industry, relevant stakeholders and the public on whether statutory seismic-resistant building design standards should be introduced for new buildings, as well as for major alteration and addition (A&A) works carried out in existing buildings in Hong Kong.

3. With Members’ support, the Buildings Department (BD) conducted a consultation exercise from October 2012 to February 2013 to gauge views of the general public and stakeholders of the building industry on the subject. After careful consideration of the views and suggestions received, we have refined our proposal to address the concerns of the public and the stakeholders.
CONSULTATION EXERCISE

4. During the consultation period, consultation documents, including a consultation paper and questionnaire, were uploaded to BD’s website and the Business e-platform of the Financial Secretary Office for public access. The questionnaire aimed to collect the public’s views on the scope and various implications of introducing seismic-resistant building design standards in Hong Kong. A copy of the consultation paper together with the questionnaire for the general public is at Appendix A. BD set up dedicated email account and fax line for collection of the completed questionnaires from the public. BD organised two consultation forums to introduce the proposal to the public and to solicit their views.

5. BD also sent consultation papers and questionnaires to building professional institutions, building contractor associations, developers’ association and local academics of the relevant fields. The questionnaires for the professionals were more comprehensive so as to collect the stakeholders’ views on a number of specific issues, including the findings of a consultancy study commissioned by BD on the seismic effects on local buildings, the implications and scope of application of seismic-resistant building design standards, as well as the measures to complement implementation of the initiative. A copy of the questionnaire is at Appendix B (English version only). To facilitate more focused deliberation on technical issues, BD arranged two discussion forums and invited members of the relevant trade associations, professional and academic institutes to express their views. In parallel, BD also consulted the Building Subcommittee of the Land and Development Advisory Committee (BSC) as well as the Authorized Persons, Registered Structural Engineers and Registered Geotechnical Engineers Committee (APSEC). A list of the institutions and organisations consulted is at Appendix C.

RESULTS OF CONSULTATION WITH GENERAL PUBLIC

6. The two public consultation forums, mentioned in paragraph 4 above, were attended by some 60 persons. During the consultation period, BD received 96 completed questionnaires from members of the public. The respondents represent a good mix of various age groups, amongst which about 93% have attained tertiary or higher levels of education. Some 70%
of the respondents were living in private buildings. The results of the questionnaire survey targeted at the general public are summarised at Appendix D.

7. According to the results of the questionnaire survey, there is general support for the introduction of seismic-resistant design standards for new buildings in Hong Kong. However, the support was less clear for extending the scope of application of the design standards to cover major A&A works in existing buildings. While over 63% of the respondents supported the application of seismic-resistant requirements to the design of new buildings, slightly less than 50% of the respondents supported the introduction of the design requirements for both new buildings and major A&A works in existing buildings. About 60% of the respondents were against the retrospective application of seismic-resistant design requirements to all existing buildings, amongst which 44% raised strong objection. About 42% of the respondents considered the need for occupants to move out, or the serious disturbance to building users or to operations in buildings owing to the carrying out of seismic-resistant works in existing buildings unacceptable.

8. If statutory seismic-resistant building design standards were to be introduced, majority of the respondents welcomed the reduction in the damage cost to structural elements as well as the level of injuries or casualties in the event of an earthquake. About 60% of the respondents accepted an increase in construction cost of not more than 5% arising from the incorporation of seismic-resistant requirements into the design and construction of buildings. Although seismic-resistant design requirements might impose restrictions on the planning and design of buildings, about 60% of the respondents considered the restrictions acceptable and some 23% took the opposite view.

RESULTS OF CONSULTATION WITH STAKEHOLDERS

9. About 120 professionals, academics and members of trade associations attended the two discussion forums for stakeholders. During the consultation period, BD received 29, 2 and 9 completed questionnaires from members of professional institutions, trade associations and academics respectively. The results of the questionnaire survey targeted at the stakeholders are summarised at Appendix E. In addition, BD received
written submissions from the Hong Kong Institute of Architects, the Association of Structural Consultants and the Real Estate Developers Association of Hong Kong.

10. The building industry has indicated general support for the introduction of statutory seismic-resistant building design standards in Hong Kong. As far as new buildings are concerned, over 72% of the respondents supported the introduction of statutory seismic-resistant building design standard. Furthermore, 65% of the respondents supported the imposition of more stringent requirements on the design of those buildings that have a post-earthquake recovery role. However, the building industry had reservation on the introduction of statutory seismic-resistant design standards for A&A works carried out in existing buildings. Only 37% of the respondents supported the introduction of statutory seismic-resistant design requirements for major A&A works, whereas about 45% of the respondents were against it. Some 52% of the respondents were opposed to the retrospective application of statutory seismic-resistant design requirements to all existing buildings in Hong Kong.

11. The building industry made strong request for technical support to complement the introduction of statutory seismic-resistant building design standards. In this connection, over 82% of the respondents supported BD’s proposal to formulate a tailor-made Code of Practice for seismic-resistant building design (CoP), taking into account the relevant international standards and Hong Kong’s geology, topography and construction practices.

12. Majority of the respondents (72%) agreed that the possibility of having serious earthquakes in the territory should be relatively low, and the existing buildings should basically be safe in the event of an earthquake. Nevertheless, the building industry recognised the merits for introducing seismic-resistant building design standards in Hong Kong. Around 55% of the respondents considered that the proposal could enhance building safety and significantly reduce the annual damage cost to buildings and the number of fatalities in the event of earthquakes. Moreover, about 60% of the respondents supported the introduction of statutory seismic-resistant building standards so as to bring the local design and construction standards in line with international standards.

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1 This category of buildings serves vital functions in the rescue and recovery stages after an earthquake. Examples of such buildings include fire and police stations, hospitals, ambulance depots, buildings that house mission critical facilities such as communication facilities, designated emergency centres, power stations and aviation control towers, etc.
with other major cities and economies located in areas of seismicity comparable to that of Hong Kong.

13. However, some stakeholders are mindful of the implications of the introduction of mandatory seismic-resistant building design standard on the construction costs, and the planning and design of buildings. About 55% of the respondents considered that the seismic-resistant design requirements would impose restrictions on innovative design of building layout and choice of structural form. As regards the cost implications of the proposal, while 25% and 19% of the respondents accepted an increase in construction cost in the range of 1-3% and 3-5% respectively, about 28% of the respondents accepted an increase in construction cost of more than 5%.

**MAJOR ISSUES OF CONCERN AND GOVERNMENT’S RESPONSES**

*Introduction of Seismic-resistant Design Standards for New Buildings*

14. Whilst the current Buildings Ordinance (BO) (Cap. 123) does not require local private buildings to meet specified seismic-resistant design standards, the public consultation reveals that there is general support for the introduction of statutory seismic-resistant design standards for new buildings in Hong Kong. On the basis of the feedback received, we propose that statutory seismic-resistant design standards for new buildings should be introduced. In light of the views collected, consideration will be given to imposing more stringent design requirements for the new buildings which have a post-earthquake recovery role.

*Introduction of Seismic-resistant Design Standards for Alteration and Addition Works*

15. According to a consultancy study commissioned by BD, local buildings are basically safe in the event of an earthquake, although they may suffer some degree of structural damage depending on the intensity of the earthquake. On the other hand, construction of local buildings was based on the statutory building standards prevailing at the time of their design and construction and it might not be practicable to require them to comply with any new statutory seismic-resistant building design standards. The
community has indicated a general consensus that any new seismic-resistant building design standards should not be applied to existing buildings across the board. Given the community consensus and in line with international practice, we maintain our view that the new seismic-resistant design standards should not be applied retrospectively to all existing buildings.

16. Major A&A works often involve extensive modifications of structural elements of existing buildings and provide opportunity for incorporating seismic-resistant requirements in the design. While the precise definition of “major A&A works” would be subject to further deliberation, the public and stakeholders’ views were sought during the consultation on whether seismic-resistant requirements should be introduced for major A&A works carried out in existing buildings.

17. There were mixed views on whether seismic-resistant requirements should apply to major A&A works. While the general public was more forthcoming about introducing seismic-resistant requirements for major A&A works, the stakeholders were more cautious on this and expressed concerns over various issues which might render the proposal not feasible. The major concern was the practical difficulties in strengthening the foundations and structural elements of existing buildings in order to meet the seismic-resistant building requirements. Some stakeholders also remarked that introduction of seismic-resistant requirements for major A&A works would restrict the change of use of existing buildings and constitute undue hardship to proponents of A&A works in particular where the areas to be strengthened would involve common areas of a building or other owners’ premises. It is worth noting that, as mentioned in paragraph 7 above, the general public was quite concerned about the disturbance or inconvenience caused to building occupants as a result of any seismic-resistant works carried out in existing buildings.

18. Having thoroughly considered the concerns raised and without compromising the standard of building safety, we plan to revise the proposal such that application of any statutory seismic-resistant building design standards would be confined to new buildings only. In November 2013, BD consulted BSC and APSEC on the revised proposal which was generally welcomed by the building industry.
Formulation of a Tailor-made Code of Practice for Seismic-resistant Building Design

19. In response to the stakeholders’ request for support measures to complement implementation of the new initiative, BD will engage a consultant to formulate a CoP. The CoP will be tailor-made for local conditions and practices, and will provide technical guidelines to the building industry for compliance with the statutory seismic-resistant building design requirements. Experts and academics in the relevant fields, members of the relevant professional institutions, trade associations and government departments will be invited to join a steering committee to advise on the preparation of the CoP. BD will consult various stakeholders of the building industry at appropriate stages of the drafting exercise so as to duly address their concerns.

Building Services and Public Utilities

20. During the consultation, there were suggestions that seismic-resistant building design should cover not only the building structures but also the building services and utilities. Since the possibility of having serious earthquakes in Hong Kong is not high, we would first focus on enhancing the structural safety of buildings in the event of earthquakes. Instead of mandating seismic-resistant requirements for building services and utilities, we would encourage voluntary incorporation of seismic design requirements in building services and utilities. We will be in a better position to revisit the subject after the seismic-resistant building design standards have come into operation for some time in the future.

WAY FORWARD

21. Taking into account the comments and views received, we have refined our proposal to address the concerns raised. Having regard to the community consensus, BD will embark on an exercise to formulate mandatory seismic-resistant design standards for new private buildings in Hong Kong. It will engage a consultant to formulate the CoP which will provide detailed technical guidelines to the professionals and practitioners of the building industry for compliance with the statutory requirements. The consultancy is tentatively scheduled for commencement in early 2015 and...
would last for three years. In parallel, we will prepare for the relevant legislative amendments to BO and its allied regulations as appropriate taking the initiative forward. Although BO does not apply to Government buildings and buildings under the control and management of the Housing Authority (HA), in line with the established practice, new Government buildings and new buildings under HA will be designed and constructed to the same statutory standards for private buildings.

Development Bureau
Buildings Department
March 2014
# Consultation Paper

for Introducing Seismic-resistant Building Design Standards in

Hong Kong

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Executive Summary

Hong Kong is not geographically situated within active seismic belts. Hence, the possibility of serious earthquakes striking the territory is relatively low. However, minor earthquakes of perceptible intensities are detected from time to time. While the current Buildings Ordinance (Cap. 123) does not require private buildings in Hong Kong to meet specified seismic-resistant design standards, internationally many major cities and economies located in areas of seismicity comparable to that of Hong Kong have all introduced statutory seismic-resistant design standards for new buildings.

2. Since Hong Kong is prone to typhoons, most buildings in the territory are, as required by statute, built with a load-resisting capacity to withstand strong winds. According to a consultancy study commissioned by the Buildings Department, local buildings are basically safe in the event of an earthquake, although they may suffer some degree of structural damage depending on the intensity of the earthquake. The study also observed that the introduction of seismic-resistant building design standards in Hong Kong should not, generally speaking, lead to a substantial increase in construction costs, but should significantly reduce the annual damage cost to the structural elements of the buildings due to earthquakes. Moreover, the number of fatalities in the event of an earthquake would also be significantly reduced.

3. Taking into account the international practice, the potential improvement in building safety standards, the anticipated increased protection for properties and lives, as well as the marginal impact on construction costs, we consider that there may be a case for introducing statutory seismic-resistant building design standards for new buildings, and for major alteration and addition works in existing buildings in Hong Kong.

4. This consultation paper outlines details of the proposal. Views from stakeholders of the building industry, academics and the general public are sought on whether statutory seismic-resistant building design standards should be introduced in Hong Kong.
CHAPTER 1 - INTRODUCTION

Hong Kong is not geographically situated within active seismic belts. The possibility of serious earthquakes striking the territory is relatively low. However, minor earthquakes of perceptible intensities are detected from time to time by the Hong Kong Observatory and the general public.

2 Many major cities and economies located in areas of seismicity comparable to that of Hong Kong, including Shanghai, South Korea, Thailand, Australia, France, Germany and New York City, have all introduced the statutory seismic-resistant design requirements to new buildings.

3. Unlike those in many other international cities of similar seismicity, buildings in Hong Kong are not required by law to meet specific seismic-resistant standards in design and construction. According to the findings of a consultancy study commissioned by the Buildings Department (BD), the possibility of having serious earthquakes in Hong Kong is low. Basically most of the buildings and people are safe in the event of an earthquake despite encountering some degree of structure-related damages and suffering certain levels of injuries. Taking into account the benefits for introducing seismic-resistant building design standards, we consider that the proposal is a cost effective way to enhance the building safety in Hong Kong and is in line with international practice.

4. We aim to consult the stakeholders, including the building professional institutes, building developers’ association, building contractors associations and local academics, as well as the general public on whether statutory seismic-resistant building design standards should be introduced in the design and construction of new buildings, as well as major alteration and addition works in existing private buildings.

5. The objectives of the consultation exercise are:
   (a) to introduce the findings and recommendations of the consultancy study commissioned by the BD and the benefits for introducing seismic-resistant building design standards in Hong Kong; and
   (b) to collect views on the introduction of seismic-resistant building design standards in Hong Kong.
CHAPTER 2 - JUSTIFICATIONS

Risk of Earthquake and International Practice

6. Buildings\(^1\) in Hong Kong are currently not required by law to meet specific seismic-resistant design standards. Minor earthquakes of noticeable intensities are detected from time to time. Between 1905 (i.e. when seismic record began in Hong Kong) and August 2012, 169 earthquakes of varying intensities were registered in Hong Kong. Most of them were of Intensity V or below on the Modified Mercalli Scale\(^2\) (MMS) and none had caused any casualties. The strongest earthquake ever recorded in Hong Kong measured Intensity VI to VII on the MMS. This earthquake occurred in 1918 with epicentre at about 300 kilometres away from Hong Kong in the neighbourhood of Shantou. In Hong Kong, it caused some damage, mainly cracks in walls, to a few buildings which were constructed to the less advanced building standards at that time. No injuries or casualties in the territory were reported. For reference, the MMS, with description of the impact at different levels of intensity, is attached at Annex A.

7. On the other hand, it is worth noting that, internationally, many major cities and economies located in areas of seismicity comparable to that of Hong Kong, including Shanghai, South Korea, Thailand, Australia, France, Germany and New York City, have all introduced statutory seismic-resistant design standards for new buildings.

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\(^1\) In the Buildings Ordinance (BO), “building” is defined as “includes the whole, or any part, of any domestic or public building or building which is constructed or adapted for use for public entertainment, arch, bridge, cavern adapted or constructed to be used for the storage of petroleum products, chimney, cook-house, cowshed, dock, factory, garage, hangar, hoarding, latrine, matshed, office, oil storage installation, out-house, pier, shelter, shop, stable, stairs, wall, warehouse, wharf, workshop or tower, sea-wall, breakwater, jetty, mole, quay, cavern or any underground space adapted or constructed for occupation or use for any purpose including its associated access tunnels and access shafts, pylon or other similar structure supporting an aerial ropeway and such other structures as the Building Authority may by notice in the Gazette declare to be a building”.

\(^2\) The Modified Mercalli Scale (MMS) indicates the intensity of an earthquake. The intensity of an earthquake at a particular locality is a measure of the violence of earth motion produced there by the earthquake. It is determined from reported effects of the tremor on human beings, furniture, buildings, geological structure, etc. The MMS classifies earthquake effects into twelve grades ranging from Intensity I: “Not felt except by a few under especially favorable conditions” to Intensity XII: “Damage total, lines of sight and level distorted, objects thrown into the air”. For Intensity V, the description is: “felt by nearly everyone, many awakened, some dishes and windows broken, unstable objects overturned, pendulum clocks may stop”. 
Seismic-Resistant Design Requirements and Possible Damage Cost

8. Although buildings in Hong Kong are not specifically built for earthquake-resistant, most of the buildings, as required by statute, have been designed and built with a relatively high load-resisting capacity to withstand strong winds as Hong Kong is prone to typhoons. As a result, they could generally meet the current performance-based seismic building design criteria accepted in international practice although they may still experience different levels of damage in an earthquake. Seismic-resistant building designs involve dedicated design and detailing requirements (e.g. detailing of steel reinforcing bars inside concrete structures, requirement for building separation to avoid pounding, etc.), which fall beyond the scope of Hong Kong's current statutory wind-resistant building design standards.

9. According to a consultancy study commissioned by the BD, a low intensity earthquake measuring MMS Intensity V to VI, which has an estimated return period of 1 in 72 years, 0.27% of the existing building floor area in Hong Kong may suffer “moderate damage” with large cracks in beams, columns, walls, etc. In a moderate earthquake of MMS Intensity VII, which has a return period of 1 in 475 years, 3.9% of the existing building floor area in Hong Kong will suffer similar damage. An earthquake of this magnitude may also cause “extensive damage”, with spalling of concrete, deformation of reinforced concrete beams and columns as well as extensive cracking of unreinforced elements, to 0.19% of the existing building floor area. Besides, 0.003% of the existing building floor area may suffer “complete damage”. A high intensity earthquake measuring MMS Intensity VIII, which has a return period of only 1 in 2475 years, would, as can be expected, cause more severe damages. In such a serious earthquake, it is estimated that 16.5% of the existing building floor area in Hong Kong may suffer “moderate damage”, 2.8% may suffer “extensive damage” and 0.19% may suffer “complete damage”. Of the 0.19% which may suffer “complete damage”, between 5% and 15% of the buildings involved may collapse. Details of the consultant’s assessment are tabulated in Annex B.
10. Taking into account the probability of occurrence of different intensities of earthquakes and the associated extent of damage, the annual damage cost to the structural elements of the existing building stock resulting from earthquakes is estimated to be around $600 million\textsuperscript{3}. On the other hand, had the total building stock in the territory been constructed in accordance with the seismic-resistant design requirements of the United States’ International Building Code 2006 (IBC 2006)\textsuperscript{4}, the estimated annual damage cost to the structural elements of the buildings due to earthquakes could be reduced by some 80%, to the order of only $120 million.

Seismic-Resistant Design Requirements and Possible Injuries/Casualties

11. Depending on the intensity, earthquakes may cause different levels of injuries or casualties. The estimated impact on lives, under different scenarios and with our existing building stock which are not built to seismic-resistant design standards, is presented in Annex C. According to the consultant, the number of fatalities in case of an earthquake in Hong Kong would be significantly reduced if IBC 2006, or the current IBC 2009, is imposed on the total building stock in the city. For instance, in a high intensity earthquake (MMS Intensity VIII), the estimated average number of fatalities would fall from 130 - 150 to only three on average, if IBC 2006 is adopted.

Seismic-Resistant Design Requirements and Construction Cost

12. It is recognised that the incorporation of seismic-resistant standards in the design and construction of buildings could lead to higher costs. However, according to the consultancy study, the increase, especially for regular buildings, would not be high. As an illustration, based on the structural forms\textsuperscript{5} commonly adopted for residential buildings in Hong Kong and assuming the adoption of IBC 2006, the consultant estimated that the increase in the construction cost (i.e. labour and material costs) of new residential buildings would range from 0% to

\textsuperscript{3} The annual damage cost is derived from the total damage costs predicted at 2011 price level under the three levels of earthquake intensities stated in Annex B. It is an average value estimated over an extended exposure period of hundreds of years

\textsuperscript{4} The IBC 2006 has been superseded by IBC 2009 which provides detailed refinement to the former without causing significant implications on costs.

\textsuperscript{5} Reinforced concrete frame buildings and reinforced concrete shear wall buildings.
0.3% or $40 per square metre of building floor area, compared to the medium construction cost of $13,400 per square metre\(^6\). If the Mainland “Code for Seismic Design of Buildings\(^7\)” were adopted, the corresponding increase in construction cost of new residential buildings would be about 0.9%, or $120 per square metre of building floor area. Such increase in construction cost should be regarded insignificant. As regards buildings with transfer plate construction, they are classified as irregular structures under the Mainland “Code for Seismic Design of Buildings” and may require specific study for each individual case. For the purpose of illustration, it is estimated that the increase in the construction cost of new residential buildings with transfer plate construction may range from 0% to 5%, up to $670 per square metre of building floor area.

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\(^6\) The increase in construction cost was estimated at the price level of 2011. $13,400 per square metre is the medium construction cost of good quality high-rise residential buildings in Hong Kong recorded in 2011.

\(^7\) The Mainland also issued a “Code for Seismic Design of Buildings” (GB50011-2001) in 2001 jointly by the then Ministry of Construction and the General Administration of Quality Supervision, Inspection and Quarantine. The Mainland’s “Code for Seismic Design of Buildings” was superseded by GB50011-2010 in December 2010. As far as seismic-resistant design is concerned, the GB50011-2010 provides detailed refinement to the GB50011-2001 without having significant implications on cost terms.
CHAPTER 3 – THE PROPOSAL

13. Taking into account the international practice and our status as an advance city of the world, the potential improvement in building safety standards, the estimated reduction in damage cost, injuries and casualties, as well as the marginal impact on construction costs, we consider that there may be a case for introducing statutory seismic-resistant building design standards for new buildings, and major alteration and addition works in existing buildings in Hong Kong.

14. Since the specific ground motions, building designs, construction standards and practices of different localities are different, it will not be appropriate for Hong Kong to simply follow the seismic-resistant design requirements of other countries or territories. A tailor-made code, taking into account the relevant international standards and Hong Kong's geology, topography and construction practices, should be formulated if statutory seismic-resistant building design standards are to be introduced. We will make reference to the standards adopted by the United States, the Mainland and other cities/economies in devising Hong Kong's seismic-resistant design requirements. In line with international practice, consideration will be given to imposing more stringent requirements for new buildings, and major alteration and addition works carried out in existing buildings, of special nature having a post earthquake recovery role, the majority of which are Government buildings, as well as schools, etc. Such design requirements and the types of buildings to be covered will be worked out then.

15. Most existing buildings in Hong Kong, in particular the newer and high-rise buildings, possess a very high load-resistant capacity to withstand strong winds and, therefore, could generally meet the current performance-based seismic design criteria accepted by international practice. However, their construction was based on the statutory building standards prevailing at the time of their design and construction and it would not be a practicable option to require them to comply with the new statutory seismic-resistant building design standards if introduced. For instance, their occupants might have to move out for any such works to be carried out or the operations in them might be seriously disturbed and users inconvenienced. In some cases, the works would simply not be implementable technically. Besides, whilst some very old buildings (e.g. unreinforced masonry buildings) may suffer from different degrees of damage in case of a major earthquake, the damage risk to the vast majority of our building stock should remain small. Therefore, also as in
line with international practice\textsuperscript{8}, any new seismic-resistant building design standards, if introduced, should not be applied retrospectively to existing buildings.

16. Nevertheless, when major alteration and addition works are to be carried out in an existing building, we should require the incorporation of the new statutory seismic-resistant building design standards. As major alteration and addition works\textsuperscript{9} often involve extensive modifications of structural elements of buildings, it would be opportune to include the additional seismic-resistant requirements in the design of such works. Where historic buildings are involved, we would have to take into account the need to maintain the authenticity and integrity of the buildings concerned in working out an appropriate mechanism.

17. The proposed imposition of seismic-resistant building design requirements on future new buildings, and major alteration and addition works in existing buildings aims to enhance safety of building structures and reduce building structure-related damages and injuries during earthquakes. If a building can remain intact during earthquakes, it will greatly enhance the safety of the occupants and properties therein. The basic operations within the buildings could also be ensured. Any new requirements will only cover the general structural elements of buildings, but not the building services and utilities (e.g. fire service installations, water supply system, gas mains, telecommunication networks, etc.). Each of these installations in a building has its own unique features and will require dedicated specialist considerations of seismic design. While we encourage the voluntary incorporation of seismic design requirements in such items in individual Government or private developments, we do not propose to impose mandatory requirements at this stage.

\textsuperscript{8} In New York City, Shanghai, South Korea, Thailand, Australia, France and Germany, seismic rules have not been imposed on existing buildings.

\textsuperscript{9} The definition of “major alteration and addition works” will be formulated at a later stage, by making reference to the scale and nature of the works involved as well as international practice. As an illustration, in the regulations of New York City, United States, the extent of application of seismic requirements to the existing building, if so required, depends on the ratio of cost of the alteration and addition works to the value of the building.
CHAPTER 4 – IMPLICATIONS OF THE PROPOSAL

18. The implications of introducing seismic-resistant building design standards for future new buildings, and major alteration and addition works in existing buildings are set out below.

19. BD’s consultant estimated that if IBC 2006 is adopted to meet the proposed seismic-resistant design requirements, the increase in the construction cost (i.e. labour and material costs) of new residential buildings will be up to $40 per square metre of building floor area. If the Mainland’s “Code for Seismic Design of Buildings” is adopted, the increase will be about $120 per square metre of building floor area. In return, the present value of the resultant fall in damage cost to structural elements of buildings (if IBC 2006 were adopted) over 50 years design life of the building is estimated to be around $35 per square metre of building floor area. The new seismic-resistant design requirements will also help reduce the number of casualties in the event of an earthquake.

20. The incorporation of seismic-resistant design will also raise the construction cost for major alteration and addition works, the amount of which will vary widely depending on the scope and nature of the works, the layout and structural form of the existing building, the selection of construction materials, etc.

21. Imposition of seismic-resistant design requirements on new buildings as well as major alteration and addition works in existing buildings will improve building safety standard, and reduce damage, injuries and the cost so resulted in the event of an earthquake. This initiative is in line with the sustainability principle of providing a living and working environment and pursuing policies which promote and protect the physical and mental health and safety of the people of Hong Kong.

22. The proposed imposition of seismic-resistant design requirements will bring about a positive environmental impact as it will reduce building damage, injuries and reconstruction cost in the event of an earthquake.

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10 The increase in construction cost and reduction in annual damage cost were estimated at the price level of 2011
CHAPTER 5 – THE CONSULTATION EXERCISE

23. The proposed introduction of seismic-resistant building design standards for private buildings and major alteration and addition works of existing buildings aims to bring the design and construction of buildings in Hong Kong in line with international practice and to enhance the building safety by reducing building structure-related damages and injuries in the event of an earthquake.

24. This consultation exercise is scheduled to commence in mid October 2012 and will last up to 28 February 2013. The following stakeholders of the building industry and the general public will be included in the consultation exercise:

(a) Professional institutes
   (i) Hong Kong Institution of Engineers;
   (ii) Hong Kong Institute of Architects;
   (iii) Hong Kong Institute of Surveyors;
   (iv) Hong Kong Institution of Steel Construction; and
   (v) Hong Kong Concrete Institute.

(b) Associations
   (i) The Real Estate Developers Association of Hong Kong;
   (ii) Hong Kong Construction Association; and
   (iii) Hong Kong General Building Contractors Association.

(c) Academics
   (i) The University of Hong Kong;
   (ii) The Hong Kong University of Science and Technology;
   (iii) The Chinese University of Hong Kong;
   (iv) Hong Kong Polytechnic University; and
   (v) City University of Hong Kong.

(d) Others
   (i) Building Sub-committee (BSC) of the Land and Development Advisory Committee; and
   (ii) Authorized Persons, Registered Structural Engineers and Registered Geotechnical Engineers Committee (APSEC).
25. The BD will conduct two discussion forums in November 2012 and January 2013 for the professional institutes, associations and academics listed in paragraph 24(a) to (c) above. Details of the forums together with a questionnaire will be sent to the corresponding bodies direct in mid-October 2012. Completed questionnaires will be collected by the corresponding organizations and returned to the BD in batch toward the end of the consultation exercise.

26. Members of the BSC/APSEC will be consulted through the established channel.

27. Two public consultation forums are to be conducted for the general public in December 2012 and February 2013 to introduce the findings of the consultancy study and objectives of the consultation, and to collect their views. Details of the public consultation forums are as follows:

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Hong Kong Productivity Council Building  
78 Tat Chee Avenue  
Kowloon Tong, Kowloon |
| (ii) 2\textsuperscript{nd} forum: | 1 February 2013 | For those who are interested in attending the public consultation forums, please register your reservation by filling this reply slip https://brms.bd.gov.hk/wrbr/replyslip.jsp and return to BD on-line. For those who would like to express their views, whether or not they have attended the public consultation forums, please fill in a questionnaire by clicking https://brms.bd.gov.hk/wrbr/questionnaire.jsp and return to BD on-line. Alternatively, the reply slip and/or the questionnaire can be returned to BD by facsimile or post as follows:
By Facsimile: 2626 1762

By Post: Technical Services Unit
Buildings Department
Unit 1620 – 1624 Level 16 Tower 1
Metroplaza 223 Hing Fong Road
Kwai Fong NT

Hardcopies of the consultation paper, questionnaire, and public consultation forum’s reservation reply slip are also available for collection from the Buildings Department at 12/F Pioneer Centre, 750 Nathan Road, Kowloon.

Enquiries may be forwarded by email to seismic_enquiry@bd.gov.hk or by phone at 2398 3107.

28. Unless parties making submissions specify a reservation, we shall assume that they have permitted the Administration to reproduce and publish their views in whole or in part in any form and to use, adopt or develop any proposals put forward without the need for permission from or subsequent acknowledgment of the party making the proposals.
CHAPTER 6 – THE WAY FORWARD

29. At the end of the consultation exercise, a consultation report summarizing the outcome of the consultation will be compiled. Pending the outcome, the BD will consider the way forward on the introduction of the statutory seismic-resistant building design standards in Hong Kong.
## Annex A

<table>
<thead>
<tr>
<th>Mercalli Magnitude</th>
<th>Effects observed</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Not felt except by a very few under especially favorable conditions.</td>
</tr>
<tr>
<td>II</td>
<td>Felt only by a few persons at rest, especially on upper floors of buildings. Delicately suspended objects may swing.</td>
</tr>
<tr>
<td>III</td>
<td>Felt quite noticeably by persons indoors, especially on the upper floors of buildings. Many do not recognize it as an earthquake. Standing motor cars may rock slightly. Vibration similar to the passing of a truck. Duration estimated.</td>
</tr>
<tr>
<td>IV</td>
<td>Felt indoors by many, outdoors by few during the day. At night, some awakened. Dishes, windows, doors disturbed; walls make cracking sound. Sensation like heavy truck striking building. Standing motor cars rocked noticeably.</td>
</tr>
<tr>
<td>V</td>
<td>Felt by nearly everyone; many awakened. Some dishes and windows broken. Unstable objects overturned. Pendulum clocks may stop.</td>
</tr>
<tr>
<td>VI</td>
<td>Felt by all; many frightened and run outdoors, walk unsteadily. Windows, dishes, glassware broken, books off shelves, some heavy furniture moved or overturned; a few instances of fallen plaster. Damage slight.</td>
</tr>
<tr>
<td>VII</td>
<td>Difficult to stand. Furniture broken. Damage negligible in building of good design and construction; slight to moderate in well-built ordinary structures; considerable damage in poorly built or badly designed structures; some chimneys broken. Noticed by persons driving motor cars.</td>
</tr>
<tr>
<td>VIII</td>
<td>Damage slight in specially designed structures; considerable in ordinary substantial buildings with partial collapse. Damage great in poorly built structures. Fall of chimneys, factory stacks, columns, monuments, walls. Heavy furniture moved.</td>
</tr>
<tr>
<td>IX</td>
<td>General panic. Damage considerable in specially designed structures, well designed frame structures thrown out of plumb. Damage great even in substantial buildings, with partial collapse. Buildings shifted off foundations.</td>
</tr>
<tr>
<td>Mercalli Magnitude</td>
<td>Effects observed</td>
</tr>
<tr>
<td>-------------------</td>
<td>----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>X</td>
<td>Some well built wooden structures destroyed; most masonry and frame structures destroyed with foundations. Rails bent.</td>
</tr>
<tr>
<td>XI</td>
<td>Few, if any masonry structures remain standing. Bridges destroyed. Rails bent greatly.</td>
</tr>
<tr>
<td>XII</td>
<td>Damage total. Lines of sight and level distorted. Objects thrown into the air.</td>
</tr>
</tbody>
</table>

Source: US Geological Survey
## Annex B

### Estimated Building Damages under Different Intensities of Earthquakes

<table>
<thead>
<tr>
<th>Damage Level</th>
<th>Description of Damage</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sight Damage</strong></td>
<td>Hairline cracks in beams, columns and walls.</td>
</tr>
<tr>
<td><strong>Moderate Damage</strong></td>
<td>Large flexural cracks with some spalling.</td>
</tr>
<tr>
<td></td>
<td>Large diagonal cracks in shear walls.</td>
</tr>
<tr>
<td></td>
<td>Masonry walls may have large diagonal cracks.</td>
</tr>
<tr>
<td><strong>Extensive Damage</strong></td>
<td>Spalled concrete and buckled reinforcement in columns and beams.</td>
</tr>
<tr>
<td></td>
<td>Visibly buckled reinforcement in shear walls.</td>
</tr>
<tr>
<td></td>
<td>Most unreinforced elements will have suffered extensive cracking.</td>
</tr>
<tr>
<td><strong>Complete Damage</strong></td>
<td>The structure is in imminent danger of collapse due to brittle failure of beams and columns and most of the shear walls. Unreinforced masonry walls may collapse due to in-plane or out-of-plane failure.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Estimated building damage of significance and associated % of the entire building stock suffering from damage (expressed in % of building floor areas)</th>
<th>Low Intensity</th>
<th>Moderate Intensity</th>
<th>High Intensity</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Approximately MMS Intensity V to VI) (Probability of occurrence: 50% in 50 years, or frequency of occurrence: 1 in 72 years)</td>
<td>Moderate damage: 0.27%</td>
<td>Moderate damage: 3.9%</td>
<td>Moderate damage: 16.5%</td>
</tr>
<tr>
<td>(Approximately MMS Intensity VII) (Probability of occurrence: 10% in 50 years, or frequency of occurrence: 1 in 475 years)</td>
<td>Extensive damage: 0.003%</td>
<td>Extensive damage: 0.19%</td>
<td>Extensive damage: 2.8%</td>
</tr>
<tr>
<td>(Approximately MMS Intensity VIII) (Probability of occurrence: 2% in 50 years, or frequency of occurrence: 1 in 2,475 years)</td>
<td>Complete damage: 0%</td>
<td>Complete damage: 0.003%</td>
<td>Complete damage: 0.19%</td>
</tr>
</tbody>
</table>

1 In terms of number of buildings or dwellings damaged, 0.19% of building floor areas involves 1,000 village houses, 6 schools, 7 retail buildings, 5 public office buildings, 9 private office buildings, 5 industrial buildings, 2 emergency buildings, 1 carpark building, 640 public dwellings (not buildings) and 1,630 private dwellings (not buildings). Between 5% and 15% of the above buildings suffered from complete damage will collapse.
## Annex C

### Estimated Injuries/Casualties under Different Intensities of Earthquakes

<table>
<thead>
<tr>
<th>Injury Level</th>
<th>Low Intensity (Approximately MMS Intensity not more than VI) (Probability of occurrence: 50% in 50 years, or frequency of occurrence: 1 in 72 years)</th>
<th>Moderate Intensity (Approximately MMS Intensity VII) (Probability of occurrence: 10% in 50 years, or frequency of occurrence: 1 in 475 years)</th>
<th>High Intensity (Approximately MMS Intensity VIII to X) (Probability of occurrence: 2% in 50 years, or frequency of occurrence: 1 in 2475 years)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Night Day</td>
<td>Night Day</td>
<td>Night Day</td>
<td>Night Day</td>
</tr>
<tr>
<td>Severity 1</td>
<td>85 120</td>
<td>880 1 380</td>
<td>4 900 7 800</td>
</tr>
<tr>
<td>Severity 2</td>
<td>4 5</td>
<td>75 110</td>
<td>730 1 050</td>
</tr>
<tr>
<td>Severity 3</td>
<td>0 0</td>
<td>1 1</td>
<td>65 75</td>
</tr>
<tr>
<td>Severity 4</td>
<td>0 0</td>
<td>2 2</td>
<td>130 150</td>
</tr>
</tbody>
</table>

### Injury Description

<table>
<thead>
<tr>
<th>Injury Level</th>
<th>Injury Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity 1</td>
<td>Minor injuries requiring basic medical aid without hospitalisation</td>
</tr>
<tr>
<td>Severity 2</td>
<td>Serious injuries requiring a greater degree of medical care and hospitalisation, but not expected to progress to a life threatening status</td>
</tr>
<tr>
<td>Severity 3</td>
<td>Injuries that pose an immediate life threatening condition if not treated adequately and expeditiously</td>
</tr>
<tr>
<td>Severity 4</td>
<td>Instantly killed or mortally injured</td>
</tr>
</tbody>
</table>
Consultation for Introducing Seismic-resistant Building Design Standards in Hong Kong
在本港引入建築物抗震設計標準的諮詢

Questionnaire for the General Public [公眾諮詢問卷]
Deadline for Submission: 28 February 2013 [截止日期: 2013年2月28日]

Q1. Do you agree with the following statements on the risk of having earthquakes in Hong Kong?
[問題一：你是否認同以下有關在香港發生地震風險的說法？]

<table>
<thead>
<tr>
<th>Strongly disagree [非常不認同]</th>
<th>Strongly agree [非常認同]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

a) Hong Kong is not situated within active seismic belts.
[香港並非位處於活躍地震帶內。]

b) The possibility of having serious earthquakes in Hong Kong is low.
[在香港發生強烈地震的可能性很低。]
Q2. What are your views on the conditions of existing buildings in Hong Kong?

[問題二：你對香港現有建築物的狀況有甚麼看法？]

<table>
<thead>
<tr>
<th>Strongly disagree [非常不認同]</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Most of the buildings are basically safe in the event of an earthquake. [大部份建築物在發生地震時基本上都是安全的。]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>b) Buildings may have some degree of structure-related damages and different levels of injuries or casualties, depending on the intensity of earthquakes. [視乎地震的烈度，建築物可能會出現某程度的結構性損毀和不同程度的人命傷亡。]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
</tbody>
</table>
Q3. Do you accept the following implications if statutory seismic-resistant design standards are to be introduced for the design and construction of buildings in Hong Kong?

[問題三：你是否接受以下如香港引入建築物抗震設計標準所產生的影響？]

<table>
<thead>
<tr>
<th>Totally unacceptable [完全不接受]</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>Totally acceptable [完全接受]</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Reduction in damage cost to structural elements during the occurrence of earthquake. [減少地震發生時結構損毀所招致的損失。]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>b) Reduction in level of injuries or casualties in the event of an earthquake. [減少地震發生時導致人命傷亡的程度。]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>c) Increase in construction cost (≤ 5% for buildings with common form and layout) [建築成本增加 (對於採用一般樓宇形式和佈局的建築物，其建築成本增加少於百分之五。)]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
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<td>○</td>
</tr>
<tr>
<td>d) Restriction in the design and planning of building form and layout. [建築物的樓宇形式和佈局的設計及規劃將會受到限制。]</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
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<td>5</td>
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<tr>
<td>unacceptable</td>
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<tr>
<td>[完全不接受]</td>
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<td>acceptable</td>
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<tr>
<td>[完全接受]</td>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

e) For existing buildings, occupants might have to move out for seismic-resistant works to be carried out or the operations in the buildings might be seriously disturbed and users inconvenienced.

[現有的建築物，為了進行有關抗震工程，佔用者或須遷離有關建築物，否則他們在建築物內的活動便會受到嚴重騷擾，造成極大不便。]
Q4.  Do you support the introduction of statutory seismic-resistant building design standards in Hong Kong?
[問題四： 你是否支持在香港引入法定的建築物抗震設計標準?]  

<table>
<thead>
<tr>
<th></th>
<th>Strongly unsupported [非常不支持]</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>Strongly supported [非常支持]</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) For new buildings only. [只實施於新建樓宇。]</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
</tr>
<tr>
<td>b) For new buildings and major alteration and addition works in existing buildings. [實施於新建樓宇及進行大規模改動及加建工程的現有樓宇。]</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
</tr>
<tr>
<td>c) For all existing buildings. [實施於所有現有樓宇。]</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
<td>◯</td>
</tr>
</tbody>
</table>

Other Views [其他意見]

____________________________________________________________________
____________________________________________________________________
____________________________________________________________________
____________________________________________________________________
____________________________________________________________________
Personal Background [個人背景資料]
(Please tell us some of your personal particulars in order to facilitate our analysis – all information collected would be treated in strict confidence.
[請提供一些你的個人資料以便我們進行分析之用，所有收集得到的資料均會保密處理。]

Name (Optional) [姓名 (選擇性填寫)]: ____________________________

Which age group do you belong to?
[你屬於哪一個年齡組別?]
- 18 – 29
- 30 – 39
- 40 – 49
- 50 – 59
- 60 or above [60 歲或以上]

What is the highest educational attainment you have completed?
[你已完成的最高教育程度是甚麼?]
- Primary or below [小學程度或以下]
- Secondary (F.1 to F.7) [中學程度 (中一至中七)]
- Tertiary (Degree/Non-degree course or above) [大專程度 (學位/非學位課程或以上)]

Which type of housing are you living in?
[你現在居住於哪一類型的房屋?]
- Public housing (including Tenants Purchase Scheme, Housing Authority/Hong Kong Housing Society subsidized sale flats) [公共房屋 (包括租者置其屋計劃、房屋委員會/香港房屋協會資助出售單位)]
- Private housing [私人樓宇]
- Villas, bungalows and village houses [別墅、平房及村屋]
- Others, please specify [其他，請註明] ____________________

Important Notes: Unless parties making submissions specify a reservation, we shall assume that they have permitted the Administration to reproduce and publish their views in whole or in part in any form and to use, adopt or develop any proposals put forward without the need for permission from or subsequent acknowledgment of the party making the proposals.
[重要事項：除非有關人士要求把全部或部份意見保密，否則我們會當作已獲授權以任何形式複製及公開所接獲的意見書的全部或部分內容，以及使用、修改或進一步闡釋所提出的任何建議，而毋需向提出建議人士徵求批准或作出致謝。]

- End [完] -
Consultation for Introducing Seismic-resistant Building Design Standards in Hong Kong

Questionnaire for Professional Institutes, Associations and Academics

(Deadline for Submission: 28 February 2013)

Name of Institute/Association/Academics:

Date: __________________________

Member’s particulars (Optional):
Name:_________________________  Membership No:___________________

Q1. Do you agree that Hong Kong is geographically not situated within active seismic belts and the possibility of having serious earthquakes is relatively low?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ______________________________________

Q2. Do you agree that existing buildings in Hong Kong are basically safe in the event of an earthquake and they may suffer some degree of structural damages depending on the intensity of the earthquake?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ______________________________________
Q3. Do you agree that the introduction of seismic-resistant building design standards in Hong Kong will not, generally speaking, lead to a substantial increase in construction cost?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________________________________________

Q4. Do you think the percentage increase in the construction cost (material and labour cost) of new buildings is acceptable if statutory seismic-resistant building design standards are introduced in Hong Kong?

- ≤ 0.5%
- 0.5 - 1%
- 1 – 3%
- 3 – 5%
- > 5%

Views: ________________________________________________________________

Q5. Do you agree that if statutory seismic-resistant building design standards are introduced in the design and construction of buildings in Hong Kong, there could be limitations on structural form and innovative design of building layout?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________________________________________

Q6. Do you agree that the introduction of seismic-resistant building design standards in Hong Kong can enhance the safety of buildings in Hong Kong, and can significantly reduce the annual damage cost to the structural elements of buildings and the number of fatalities in the event of earthquakes?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________________________________________
Q7. Do you agree that statutory seismic-resistant building design standards should be introduced in Hong Kong so as to bring the design and construction of new buildings in the territory in line with many major cities and economies (e.g. Shanghai, South Korea, Thailand, Australia, France, Germany and New York City) located in areas of seismicity comparable to that of Hong Kong?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ____________________________________________________________

Q8. As a whole, do you agree that statutory seismic-resistant building design standards should be introduced for buildings in Hong Kong?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ____________________________________________________________

Q9. Do you agree that statutory seismic-resistant building design standards should be introduced for new buildings in Hong Kong?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ____________________________________________________________

Q10. Do you agree that statutory seismic-resistant building design standards should be introduced for major alteration and addition works in existing buildings in Hong Kong?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ____________________________________________________________
Q11. Do you agree that statutory seismic-resistant building design standards should not be applied retrospectively to all the existing buildings in Hong Kong?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________

Q12. Do you agree that if statutory seismic-resistant building design standards are to be introduced, Hong Kong should compile a tailor-made code of practice for the guidance and reference of building professionals, taking into account the relevant international standards and Hong Kong’s geology, topography and construction practices?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________

Q13. Do you agree that if statutory seismic-resistant building design standards are to be introduced, consideration should be given to imposing more stringent requirements for new buildings, and major alteration and addition works carried out in existing buildings, of special nature (e.g. government buildings and schools) having a post earthquake recovery role?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Absolutely disagree

Views: ________________________________
Q14. In your opinion, if the Buildings Department is to formulate a tailor-made code of practice for statutory seismic-resistant building design in Hong Kong, how important are the following areas?

<table>
<thead>
<tr>
<th></th>
<th>Not important at all</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>Very important</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Recommended design method</td>
<td>○ ○ ○ ○ ○</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) Restrictions on structural form</td>
<td>○ ○ ○ ○ ○</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>c) Choice of materials</td>
<td>○ ○ ○ ○ ○</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d) Detailing requirements</td>
<td>○ ○ ○ ○ ○</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e) Construction method</td>
<td>○ ○ ○ ○ ○</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

Views: ________________________________________________________________

**Additional comments**
Thank you for expressing your views through this questionnaire. If you would like to provide your views in greater details, you are welcome to write them down in the box below or on separate sheets.

Important Note: Unless parties making submissions specify a reservation, we shall assume that they have permitted the Administration to reproduce and publish their views in whole or in part in any form and to use, adopt or develop any proposals put forward without the need for permission from or subsequent acknowledgment of the party making the proposals.

- End -
Appendix C

List of the stakeholders of the building industry consulted during the consultation exercise on introduction of seismic-resistant building design standards in Hong Kong

**Professional Institutions**
1. Hong Kong Institute of Architects
2. Hong Kong Institution of Engineers
3. Hong Kong Institute of Surveyors
4. Hong Kong Institution of Steel Construction
5. Hong Kong Concrete Institute

**Trade Associations**
1. The Real Estate Developers Association of Hong Kong
2. Hong Kong Construction Association
3. Hong Kong General Building Contractors Association

**Academics**
1. The University of Hong Kong
2. The Hong Kong University of Science and Technology
3. The Chinese University of Hong Kong
4. Hong Kong Polytechnic University
5. City University of Hong Kong

**Others**
1. Building Subcommittee of the Land and Development Advisory Committee
2. Authorized Persons, Registered Structural Engineers and Registered Geotechnical Engineers Committee
Appendix D

Results of the questionnaire survey on general public on introduction of seismic-resistant building design standards in Hong Kong

Question 1
Do you agree with the following statements on the risk of having earthquakes in Hong Kong?

(a) Hong Kong is not situated within active seismic belts.

(b) The possibility of having serious earthquakes in Hong Kong is low.
**Question 2**
What are your views on the conditions of existing buildings in Hong Kong?

(a) Most of the buildings are basically safe in the event of an earthquake.

(b) Buildings may have some degree of structure-related damages and different levels of injuries or casualties, depending on the intensity of earthquakes.
**Question 3**

Do you accept the following implications if statutory seismic-resistant design standards are to be introduced for the design and construction of buildings in Hong Kong?

(a) Reduction in damage cost to structural elements during the occurrence of earthquake

(b) Reduction in level of injuries or casualties in the event of an earthquake
(c) Increase in construction cost ($\leq 5\%$ for buildings with common form and layout)

(d) Restriction in the design and planning of building form and layout
(e) For existing building, occupants might have to move out for seismic-resistant works to be carried out or the operations in the buildings might be seriously disturbed and users inconvenienced.
**Question 4**
Do you support the introduction of statutory seismic-resistant building design standards in Hong Kong?

(a) For new buildings only

![Bar chart showing support levels for new buildings only.]

(b) For new buildings and major alteration and addition works in existing buildings

![Bar chart showing support levels for new buildings and major alteration works in existing buildings.]

<table>
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<th>Unsupported</th>
<th>Neutral</th>
<th>Supported</th>
<th>Strongly supported</th>
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<td>13.9%</td>
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<td>26.9%</td>
<td>22.6%</td>
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</tbody>
</table>
(c) For all existing buildings
Appendix E

Results of the questionnaire survey on stakeholders in building industry on introduction of seismic-resistant building design standards in Hong Kong

Question 1
Do you agree that Hong Kong is geographically not situated within active seismic belts and the possibility of having serious earthquakes is relatively low?

![Bar Chart](image)

Question 2
Do you agree that existing buildings in Hong Kong are basically safe in the event of an earthquake and they may suffer some degree of structural damages depending on the intensity of the earthquake?

![Bar Chart](image)
**Question 3**
Do you agree that the introduction of seismic-resistant building design standards in Hong Kong will not, generally speaking, lead to a substantial increase in construction cost?

![Bar Chart]

**Question 4**
Do you think the percentage increase in the construction cost (material and labour cost) of new buildings is acceptable if statutory seismic-resistant building design standards are introduced in Hong Kong?

![Bar Chart]
**Question 5**
Do you agree that if statutory seismic-resistant building design standards are introduced in the design and construction of buildings in Hong Kong, there could be limitations on structural form and innovative design of building layout?

![Bar chart showing responses to Question 5](image)

**Question 6**
Do you agree that the introduction of seismic-resistant building design standards in Hong Kong can enhance the safety of buildings in Hong Kong, and can significantly reduce the annual damage cost to the structural elements of buildings and the number of fatalities in the event of earthquakes?

![Bar chart showing responses to Question 6](image)
**Question 7**
Do you agree that statutory seismic-resistant building design standards should be introduced in Hong Kong so as to bring the design and construction of new buildings in the territory in line with many major cities and economies (e.g. Shanghai, South Korea, Thailand, Australia, France, Germany and New York City) located in areas of seismicity comparable to that of Hong Kong?

![Graph showing percentage responses for Question 7]

**Question 8**
As a whole, do you agree that statutory seismic-resistant building design standards should be introduced for buildings in Hong Kong?

![Graph showing percentage responses for Question 8]
**Question 9**
Do you agree that statutory seismic-resistant building design standards should be introduced for new buildings in Hong Kong?

![Bar chart showing responses to Question 9]

**Question 10**
Do you agree that statutory seismic-resistant building design standards should be introduced for major alteration and addition works in existing buildings in Hong Kong?

![Bar chart showing responses to Question 10]
**Question 11**
Do you agree that statutory seismic-resistant building design standards should not be applied retrospectively to all the existing buildings in Hong Kong?

![Bar chart showing responses to Question 11]

**Question 12**
Do you agree that if statutory seismic-resistant building design standards are to be introduced, Hong Kong should compile a tailor-made code of practice for the guidance and reference of building professionals, taking into account the relevant international standards and Hong Kong’s geology, topography and construction practices?

![Bar chart showing responses to Question 12]
**Question 13**
Do you agree that if statutory seismic-resistant building design standards are to be introduced, consideration should be given to imposing more stringent requirements for new buildings, and major alteration and addition works carried out in existing buildings, of special nature (e.g. government buildings and schools) having a post-earthquake recovery role?
**Question 14**

In your opinion, if the Buildings Department is to formulate a tailor-made code of practice for statutory seismic-resistant building design in Hong Kong, how important are the following areas?

(a) Recommended design method

(b) Restrictions on structural form
(c) Choice of materials

(d) Detailing requirements
(c) Construction method

[Bar chart showing the degree of importance for various construction methods, with percentages for each level of importance.]