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## Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2020-21

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Reply Serial No.

# CONTROLLING OFFICER'S REPLY

## (Question Serial No. 1017)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(1) Corruption Prevention,(2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

Some Legislative Councillors stated that the Commission had set up a special investigation team to probe into police misconduct during the movement of opposition to the proposed legislative amendments. Please inform this Committee of the following:

- 1. the establishment of this investigation team and the expenditure involved since it was set up;
- 2. whether the team has devised a plan and/or timetable for action;
- 3. whether the Commission has plans to increase manpower and/or funding for the team in consideration of the ongoing movement of opposition to the proposed legislative amendments.

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 20)

### Reply:

(1) The Operations Department of ICAC will flexibly deploy existing resources and manpower according to operational needs, including establishment of special investigation teams to handle individual cases. A special investigation team established by ICAC in August 2019, led by 1 Senior Commission Against Corruption Officer and with members comprising 1 Commission Against Corruption Officer (Upper), 3 Commission Against Corruption Officers, is dedicated to handling cases of suspected corruption related to the opposition to the proposed legislative amendments to the Fugitive Offenders Ordinance last year. The team conducts relevant investigations with existing resources, and therefore no additional manpower or expenditure is involved.

(2) ICAC will follow up each single case in accordance with the established procedures and the law. ICAC will not comment on individual cases or operational details on grounds of confidentiality.

(3) ICAC will review its manpower from time to time and make suitable redeployment when necessary to ensure that its investigation work will not be affected by any manpower shortage. If additional manpower is required due to investigation needs, ICAC will make the request in accordance with the established mechanism.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

## (Question Serial No. 1018)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(1) Corruption Prevention, (2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

The ICAC was established for the purpose of cracking down on corruption in the Police Force. With 46 years gone by, corruption concerning the Police remains a serious problem. Among the corruption complaints concerning the government sector received by the Commission in 2019, almost 30% of which involved the Police, making it atop the list. Please inform this Committee of the following:

1. Regarding the estimated expenditure amounting to \$95 million of the Corruption Prevention Department (CPD) in the Commission, how much will be used exclusively to prevent police corruption?

2. Among the 70 assignment reports completed by the CPD in 2019, how many of them were related to the Police?

3. The number of police officers prosecuted by the Commission in 2019;

4. The number of police officers convicted after prosecution by the Commission in 2019.

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 22)

Reply:

- 1. Preventing police corruption is part of the regular duties of CPD. The resources required are included in the general operating expenses and no itemised budget is made.
- 2. Among the 70 assignment reports completed by CPD in 2019, 1 was related to the work of the Police.

#### 3. and 4.

Please find below the number of staff of Hong Kong Police Force prosecuted and convicted in 2019 as a result of ICAC's corruption investigations.

	2019
No. of Persons Prosecuted <sup>*</sup>	4
No. of Persons Convicted <sup>#</sup>	2

- \* The figure in the table above refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- # The figure in the table above refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

## (Question Serial No. 1574)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	(661) Minor plant, vehicles and equipment (block vote)
Programme:	Not Specified
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

The provision of \$17.485 million under Minor plant, vehicles and equipment represents an increase of \$10.017 million (134.1%) over the revised estimate for 2019-20. Will the Government inform this Committee of the reasons for the sharp increase; a breakdown of the estimated expenditure under Minor plant, vehicles and equipment?

Asked by: Hon LAM Cheuk-ting (LegCo internal reference no.: 18)

### Reply:

The provision under Subhead 661 for ICAC for 2020-21 will be used mainly for the replacement and installation of plant and equipment including fire service systems, audio and visual systems, communication systems and air-conditioning systems. A number of mechanical and electrical systems in the ICAC Building, which have been in use for over 10 years since commissioning in 2007, have reached their service life span, with the supply of some of the parts discontinued, rendering it necessary for the replacement works. As the annual provision concerned is allocated subject to the needs of works, the amount will increase or decrease according to such needs.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC004

## (Question Serial No. 1581)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

Please provide in table form the number of prosecutions against personnel of different disciplined services handled by the ICAC as well as the number of convictions in the past 3 years.

How many cases involving the movement of opposition to the proposed legislative amendments to the Fugitive Offenders Ordinance and involving civil servants has the ICAC handled since last year? Are there cases where prosecutions have already been made? If not, why?

Asked by: Hon LAM Cheuk-ting (LegCo internal reference no.: 31)

### Reply:

Please find below the number of disciplined services staff prosecuted and convicted in the past 3 years (2017-2019) as a result of ICAC's corruption investigations.

# Number of disciplined services staff prosecuted as a result of ICAC's corruption investigations

Disciplined Services	Number of Persons Prosecuted <sup>*</sup>			
Disciplined Services	2017	2018	2019	
Hong Kong Police Force	3	3	4	
Fire Services Department	-	1	3	
Correctional Services Department	1	-	2	
Immigration Department	1	-	-	
Total	5	4	9	

\* The figures in the table above refer to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

Dissiplined Services	Number of Persons Convicted <sup>*</sup>		
Disciplined Services	2017	2018	2019
Hong Kong Police Force	1	6	2
Fire Services Department	-	-	2
Correctional Services Department	2	-	-
Immigration Department	1	-	-
Total	4	6	4

# Number of disciplined services staff convicted as a result of ICAC's corruption investigations

\* The figures in the table above refer to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

As at the end of February 2020, ICAC is handling 28 cases of suspected corruption relating to the opposition to the proposed legislative amendments to the Fugitive Offenders Ordinance and involving civil servants. As the cases are still being handled, no prosecution has been made.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC005

## (Question Serial No. 1987)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	(103) Rewards and Special Services
Programme:	Not Specified
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

What were the uses and components under the Subhead "Rewards and Special Services" in the past 3 years? What were their respective percentages, including that of expenditure on rewards and services of a confidential nature? What is the detailed rationale for making an estimate of about \$16 million for the Subhead "Rewards and Special Services" in 2020-21?

Asked by: Hon TO Kun-sun, James (LegCo internal reference no.: 35)

### Reply:

The provision under the Subhead "Rewards and Special Services" caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. As the expenditure under the Subhead "Rewards and Special Services" involves ICAC's covert operational activities and intelligence gathering, disclosing the details of the expenditure under this Subhead may reveal ICAC's operational details and law enforcement capabilities to criminals, who may be able to elude justice, thus jeopardising public interest. Therefore, the information cannot be provided.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC006

## (Question Serial No. 2312)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(1) Corruption Prevention
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

During 2020-21, CPD will assist the Development Bureau and major public bodies to strengthen their systems on supervision of public construction works projects. What are the details of the supervision systems and the estimated manpower and expenditure involved?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 44)

### Reply:

To assist the Development Bureau, works departments and major public bodies to strengthen system controls and preventive measures in site supervision, CPD will conduct a comprehensive and in-depth study on their site supervision systems and procedures, and review the procedures for supervision and acceptance of works adopted by resident site staff and contractors. CPD will also provide corruption prevention advice on the digital works supervision system to be implemented by the works departments.

No itemised budget is made for the above work as existing resources mainly involving 3 CPD officers, who have to undertake other duties concurrently, are deployed to handle the work concerned.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC007

## (Question Serial No. 2313)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(1) Corruption Prevention
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

During 2020-21, CPD will, in collaboration with the Development Bureau and the relevant departments, develop a comprehensive framework of the Integrity Management System (IMS) for public works contractors and assist them to implement the system. What are the details of IMS and the arrangement of the implementation schedule? Are additional manpower and financial resources required?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 45)

### Reply:

The Integrity Management System (IMS) comprises three major elements, namely, integrity management policy and requirements, integrity training and integrity risk management plan. We proposed to include integrity management policy and requirements as well as integrity training as one of the registration criteria for admission to the Government's List of Approved Contractors for Public Works. It has been noted that the Development Bureau (DEVB) is consulting the industry on the integrity management policy and requirements recommended by CPD, and will discuss with the industry the organisation of integrity training for approved contractors in 2020-21. Having formulated a preliminary framework on the integrity risk management plan, CPD will work with DEVB to realise the plan in 2020-21 and deliberate on its implementation schedule.

To implement IMS, including drawing up details of the integrity risk management plan and conducting ongoing integrity training for approved contractors, CPD will create one post in 2020-21 with a the notional annual mid-point salary value of approximately \$930,000.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**ICAC008** 

## (Question Serial No. 3220)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(3) Preventive Education
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

A "Starting-Up Right! Connectors Network" was formed in August 2019 to sustain CRD's efforts in helping start-ups adopt ethical business practices. What is the progress and effectiveness of the work concerned? What are the details of the relevant work and the estimated manpower and financial resources to be allocated in the coming year?

<u>Asked by</u>: Hon WONG Ting-kwong (LegCo internal reference no.: 46)

### Reply:

In August 2019, CRD formed a "Starting-Up Right! Connectors Network" (the Network) to strengthen ties with start-ups. So far, about 10 start-up communities, including government-funded start-up connectors, tertiary education institutions and chambers of commerce, have joined the Network to promote probity messages to their connected start-ups. CRD has already provided organisations in the Network with tailor-made anti-corruption resources and feature articles for distribution to over 4 000 start-ups to promote business ethics and ICAC's preventive education services.

Besides, CRD officers also attended seminars and briefings arranged for start-ups by the relevant stakeholders, including Cyberport, Hong Kong Design Centre and Hong Kong Science & Technology Parks, to introduce to start-up operators the anti-corruption laws of Hong Kong and to heighten their awareness against the risks of corruption and malpractices. CRD has so far promoted business ethics to over 570 start-up operators through the above activities.

In 2020, the Hong Kong Business Ethics Development Centre (the Centre) under the auspices of CRD launched a new "SMEs and Start-ups Corner" (https://hkbedc.icac.hk/sme) on its website to provide a quick and easy reference for business operators on business ethics.

CRD will continue to enhance collaboration with the Network and provide preventive education services and disseminate the relevant messages through feature articles for start-ups. As the work concerned will be coordinated and implemented by the Centre, no additional manpower or financial resources will be involved.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**ICAC009** 

## (Question Serial No. 3221)

Head:	(72) Independent Commission Against Corruption			
Subhead (No. & title):	0			
Programme:	(4) Enlisting Support			
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)			
Director of Bureau:	Commissioner, Independent Commission Against Corruption			

#### Question:

In 2020-21, ICAC will enhance the engagement with international anti-corruption ranking institutions, and collaborate with and assist the anti-corruption agencies of State Parties to the UNCAC including the Belt and Road countries to strengthen their anti-corruption capacity. What were the anti-corruption collaborations in the past year? What is the work plan for the coming year, as well as the estimated manpower and expenditure involved?

<u>Asked by</u>: Hon WONG Ting-kwong (LegCo internal reference no.: 47)

### Reply:

ICAC has been fulfilling its obligation under the United Nations Convention Against Corruption (UNCAC) as the authority designated by our country to assist States Parties (including Belt and Road countries) to strengthen their anti-corruption capacity. In parallel, ICAC has also been striving to promote to the international community Hong Kong's probity environment, robust anti-corruption system and strong rule of law.

In 2019-20, ICAC made a total of 7 duty visits to 8 countries for field studies and research on their anti-corruption regimes and corruption situations. Apart from exchanges with local anti-corruption agencies (ACAs) and related organisations, deliberations were made on the arrangements of capacity building training. Moreover, 4 training programmes were conducted for graft fighters of different countries during these visits. Within the year, ICAC also conducted 4 capacity building programmes in Hong Kong. Over 300 graft-fighters from different jurisdictions were benefited from these 8 training programmes.

In 2020-21, ICAC will continue to offer capacity building training to ACAs of different countries. Meanwhile, discussions on training collaboration are underway with ACAs of about 10 countries in Southeast Asia, South Asia, Central Asia and North Africa. Subject to the needs of individual ACAs and the specific programme contents, training will be

conducted either in their countries or in Hong Kong, with an anticipated number of about 10 training programmes for each year. In addition, ICAC officers will continue to engage in exchanges with its overseas counterparts to have a better understanding of their corruption situations and anti-corruption regimes in a bid to develop tailor-made training programmes for them and expand ICAC's global anti-corruption networks. In the coming year, the Commission plans to visit about 6 countries and will make continued efforts to receive overseas ACAs paying visits to Hong Kong.

The International Liaison and Training Group (ILT) under the Administration Branch of ICAC is the dedicated unit in co-ordinating the capacity building initiatives. This unit is headed by 1 Senior Commission Against Corruption Officer and mainly supported by 1 Commission Against Corruption Officer (Upper), 3 Commission Against Corruption Officers (Middle/Lower) and 1 Project Executive in liaising with overseas ACAs and providing training to their officers.

In 2020-21, the estimated expenditure for the work concerned, covering study visits, research and training to be conducted by ICAC officers in various countries, is \$1.6 million.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

## (Question Serial No. 3442)

Head:	(72) Independent Commission Against Corruption			
Subhead (No. & title):	0			
Programme:	(3) Preventive Education			
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)			
Director of Bureau:	Commissioner, Independent Commission Against Corruption			

Question:

1. In the past 3 years, how many visits by schools had been organised and how many school activities had been conducted by ICAC? Please provide the information in the table below:

	Number of Visits by Schools			Number of School Activities Conducted				
Yea r	Kinder-gart en	Primar y School	Secondar y School	Speci al Schoo	Kinder-gart en	Primar y School	Secondar y School	Speci al Schoo 1
201 9				1				1
201 8								
201 7								

2. How many school requests for visits were received by ICAC in each of the past 3 years? If there were cases where school requests were declined, what were the common reasons?

3. How many school requests for conducting school activities were received by ICAC in each of the past 3 years? If there were cases where school requests were declined, what were the common reasons?

Reply:

	Number of Visits by Schools			Number of School Activities Conducted				
Yea r	Kinder-gart en	Primar y	Secondar y	Speci al	Kinder-gart en	Primar y	Secondar y	Speci al
		School	School	Schoo 1		School	School	Schoo 1
201 9	0	0	72	3	566	219	331	3
201 8	0	69	126	2	357	350	473	3
201 7	0	16	127	2	289	254	414	1

1. Details of the school visits to ICAC and school activities conducted in the past 3 years are as follows:

ICAC has all along adopted a multi-faceted approach to promote integrity and probity messages to children and youths. In addition to school activities such as interactive drama performances, talks on integrity and the iTeen Leadership Programme, job shadowing activities and visits to the ICAC Building were organised for secondary school students. For primary schools and kindergartens, programmes including the "All for Integrity" Multimedia Project, a collaborative art project and a Gee-dor-dor & Good Kids Participation Scheme were organised, and briefing sessions on teaching packages were conducted to support teachers in moral education work. Besides, moral education worksheets were distributed to schools to strengthen parenting and moral education.

### 2. and 3.

Each year, ICAC proactively invites schools to participate in activities to promote integrity and follows up on all of their enquiries. ICAC will not decline any school's requests for assistance in arranging activities. In case a request cannot be accommodated due to venue or time constraints, ICAC will provide the school with moral education resources or teaching materials according to their needs.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC011

## (Question Serial No. 3675)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(2) Operations, (4) Enlisting Support
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

1. The number of cases prosecuted and convicted under Section 30 of the Prevention of Bribery Ordinance in the past 3 years; has there been an upward trend in the number of such cases in recent years?

2. What measures have been taken by ICAC to ensure that everyone, including members of the public and complainants, clearly understands the substance of Section 30 of the Prevention of Bribery Ordinance?

Asked by: Hon LEUNG Mei-fun, Priscilla (LegCo internal reference no.: 37)

### Reply:

Section 30(1) of the Prevention of Bribery Ordinance provides that any person who knowing or suspecting that an investigation in respect of an offence under Part II of the Ordinance is taking place, without lawful authority or reasonable excuse, discloses to the subject person, the public, a section of the public or any particular person the identity of the subject person or the fact that the subject person is so subject or any details of such investigation shall be guilty of an offence and shall be liable on conviction to a fine of \$20,000 and to imprisonment for 1 year. The purpose of the provision is to ensure that ICAC can effectively enforce anti-corruption laws, such as preventing subject persons from knowing that they are being investigated and therefore concealing their assets or destroying evidence, and to protect the reputation of the subject persons.

During the course of corruption investigations, when coming into contact with complainants, witnesses or other persons related to the investigations, ICAC officers will remind them of the provision to prevent them from breaking the law. ICAC will definitely follow up any complaint or allegation involving the violation of the provision according to the law. Upon investigation of the cases, legal advice will be sought from the Department of Justice if necessary, and the Department of Justice will decide whether prosecution should be instituted. There have been cases of people being prosecuted and convicted for

violation of the provision. Information about such cases is revealed to the general public through press releases with a view to achieving a deterrent effect.

The number of complaints and the number of persons prosecuted and convicted in relation to Section 30(1) of the Prevention of Bribery Ordinance in the past 3 years (2017-2019) are as follows:

Year	Complaints	Pursuable Complaints	No. of Persons Prosecuted (No. of Cases Involved)*	No. of Persons Convicted (No. of Cases Involved) <sup>#</sup>
2017	11	11	4 (3)	0 (0)
2018	20	19	1 (1)	5 (4)
2019	10	10	0 (0)	0 (0)

\* The figures refer to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

# The figures refer to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

The figures above show no notable upward or downward trend regarding cases related to Section 30(1) of the Prevention of Bribery Ordinance in recent years.

As it is vital to uphold confidentiality for corruption investigations, the Community Relations Department (CRD) has been striving to promote public awareness of the importance of upholding confidentiality and the substance of Section 30 of the Prevention of Bribery Ordinance by different modes and through various publicity channels. Publicity and education activities conducted for this purpose included the organisation of preventive education talks and seminars for public officers, employees in the private sector, stakeholders in building management bodies and students, etc, as well as the promotion of the relevant message during appropriate district activities such as meet-the-public sessions and community engagement programmes. CRD has also explained the relevant provisions through social media and videos to remind the public of the importance of upholding confidentiality for corruption investigations.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

#### (Question Serial No. 3929)

Head:	(72) Independent Commission Against Corruption				
Subhead (No. & title):	(000) Operational expenses				
Programme:	(1) Corruption Prevention				
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)				
Director of Bureau:	Commissioner, Independent Commission Against Corruption				

#### Question:

According to the Programme, since the inception of the Operation Building Bright (OBB) 2.0, Fire Safety Improvement Works Subsidy Scheme and Lift Modernisation Subsidy Scheme, CPD had been offering early and concurrent advice to the Urban Renewal Authority and the government bureaux/departments concerned (e.g. the Buildings Department) on the relevant documents and procedures, with a host of recommendations made to strengthen the system integrity and enhance controls in the administration of these schemes. In this connection, what were the recommendations? Please provide in table form the number of case files opened and the number of substantiated complaints in the past 3 years; the estimated manpower and expenditure involved in preventing bid-rigging in OBB, and the amount of resources to be allocated by ICAC in publicity and education to disseminate anti-bid-rigging messages in the coming year.

<u>Asked by</u>: Hon CHAN Chi-chuen (LegCo internal reference no.: 232)

#### Reply:

At the formulation stage of these subsidy schemes, CPD has already offered advice to the Urban Renewal Authority (URA) and the government bureaux/departments concerned on the relevant policy papers and procedures, and collaborated with them in drawing up preventive measures to reduce the corruption risks. The major corruption prevention advice and efforts made in this regard are summarised below:

- CPD has recommended URA the establishment of a system to monitor compliance of the statutory requirements by buildings participating in the schemes in relation to the tendering processes to prevent works consultants and contractors from securing maintenance contracts by unlawful means.
- URA provides administrative and technical support (including sourcing consultants and contractors for the maintenance works) to the buildings

participating in the subsidy schemes through its "Smart Tender" Building Rehabilitation Facilitating Services (Smart Tender). After reviewing the operating system of "Smart Tender", CPD has made recommendations for improvements on the fairness and competitiveness of the works tendering procedures and for minimising the risks of corrupt practices and bid-rigging.

- To heighten owners' vigilance against corruption, CPD has produced promotional leaflets highlighting the red flags of possible corruption in building maintenance works to help owners put in place preventive measures at early stages.
- CPD has been organising workshops for URA officers concerned, and registered works consultants and contractors which have interest in bidding for maintenance works to brief them on anti-bribery laws and ICAC's corruption prevention services in relation to building maintenance and to remind them not to breach the laws.
- Through the Home Affairs Department (HAD)'s platform, briefings have been held for over 2 000 building owners eligible for or successfully joining the schemes on the corruption risks associated with maintenance works at different stages (including tendering, supervision of works, fund approval and application for subsidy) and the corresponding preventive measures.

In relation to bid-rigging in building renovation and maintenance works, the number of corruption complaints received by ICAC and the number of persons prosecuted and convicted in the past 3 years (2017-2019) are as follows (none of the cases are related to the OBB subsidy scheme):

Year	Corruption Complaints	Pursuable Complaints <sup>+</sup>	No. of Persons Prosecuted <sup>*</sup>	No. of Persons Convicted <sup>#</sup>
2017	14	8	0	0
2018	8	5	1	1
2019	5	5	1	1

- + Some corruption complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complainants in the cases remained anonymous which rendered clarification not possible.
- \* The figures refer to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- # The figures refer to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

To tie in these subsidy schemes, ICAC has developed a series of preventive education services to help building owners and owners' corporations (OCs) participating in the schemes reduce corruption risks regarding building maintenance. Such services include conducting thematic seminars through organisations such as URA, HAD, etc, and producing publicity items and corruption prevention resources including the Government Subsidy Schemes for Building Maintenance - Corruption Preventive Education Thematic Webpage and the Building Maintenance Toolkit. Moreover, letters have been sent to all successful applicant buildings of the schemes to introduce ICAC's corruption prevention services, and to arrange visits and talks for individual OCs subject to their needs. Public can make further enquiries through the Integrity Building Management Enquiry Hotline.

The above preventive education work in relation to building management and maintenance is part of ICAC's regular duties. The resources involved are included in the general operating expenses and no itemised budget is made.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC013

## (Question Serial No. 4047)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

In the Analysis of Financial and Staffing Provision, the provision for 2020-21 is \$21.6 million higher than the revised estimate for 2019-20, which is partly due to the filling of vacancies and creation of 11 posts. What are the job duties and salary expenditure details of the 11 newly created posts?

<u>Asked by</u>: Hon WONG Ting-kwong (LegCo internal reference no.: 48)

### Reply:

In 2020-21, 11 posts will be created by ICAC under Programme (2). They include 7 posts of Commission Against Corruption Officer (Middle/Lower), 1 post of Computer Operator I and 3 posts of Computer Operator II, and the total notional annual mid-point salary value is \$7.7 million. These posts will be deployed mainly to deal with corruption investigations concerning listed companies, to strengthen technical support in computer forensics, and to formulate a more comprehensive information technology strategy in pace with technological development with a view to enhancing operational support for the department.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC014

## (Question Serial No. 4156)

Head:	(72) Independent Commission Against Corruption			
Subhead (No. & title):	0			
Programme:	Not Specified			
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)			
Director of Bureau:	Commissioner, Independent Commission Against Corruption			

#### Question:

ICAC established the Centre of Anti-Corruption Studies and International Training to help the Belt and Road countries build a level-playing field and a robust corruption-resistant system. Please give an account of the operating expenditure of the Centre in the past year, the funding required in each exchange/visit and the staffing arrangements.

Asked by: Hon CHAN Tanya (LegCo internal reference no.: 121)

### Reply:

ICAC has been fulfilling its obligation under the United Nations Convention Against Corruption (UNCAC) as the authority designated by our country to assist States Parties (including Belt and Road countries) to strengthen their anti-corruption capacity in a bid to develop a level-playing field and a robust corruption-resistant system.

The Centre of Anti-Corruption Studies and International Training was administered by the International Liaison and Training Group (ILT). Headed by 1 Senior Commission Against Corruption Officer, ILT is mainly supported by 1 Commission Against Corruption Officer (Upper), 3 Commission Against Corruption Officers (Middle/Lower) and 1 Project Executive in liaising with overseas anti-corruption agencies (ACAs) and providing training to their officers. As at the end of February 2020, the operating expenditure incurred by ILT for 2019-20 was approximately \$860,000, including the expenses on daily operations as well as study visits, research and capacity building training conducted by ICAC officers in Belt and Road countries.

During the year, ILT made a total of 7 duty visits to 8 countries for field studies and research on their anti-corruption regimes and corruption situations. Apart from exchanges with local ACAs and stakeholders, deliberations were made on the arrangements of capacity building training. Moreover, 4 training programmes were conducted for graft fighters of different countries during these visits. Within the year, ICAC also conducted 4 capacity

building programmes in Hong Kong. Over 300 graft-fighters from worldwide jurisdictions were benefited from these 8 training programmes.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC015

## (Question Serial No. 4854)

Head:	(72) Independent Commission Against Corruption				
Subhead (No. & title):	0				
Programme:	Not Specified				
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)				
Director of Bureau:	Commissioner, Independent Commission Against Corruption				

Question:

In regard to the work concerning the Code on Access to Information, will the Government please inform this Committee of the following:

1) Among the information requests made under the Code on Access to Information that have been received and met in part only by ICAC since October 2018, please provide in table form (i) the contents of requests which were met in part only; (ii) the reasons for meeting the requests in part only; (iii) whether the decisions on withholding part of the information were made at the directorate (D1 or D2) level (in accordance with paragraph 1.8.2 of the Guidelines on Interpretation and Application); and (iv) whether the decisions on withholding part of the information had been subjected to a "harm or prejudice test", i.e. whether the public interest in disclosure of such information outweighed any harm or prejudice that could result from disclosure (in accordance with paragraph 2.1.1 of the Guidelines on Interpretation); if yes, please provide the details.

From October to December 2018

(i) Contents of	(ii) Reasons f	or	(iii) Whether the	(iv) Whether the
requests which	meeting t	he	decisions on	decisions on
were met in part	requests in pa	art	withholding part of	withholding part of
only	only		the information	the information
			were made at the	had been subjected
			directorate (D1 or	to a "harm or
			D2) level (in	prejudice test", i.e.
			accordance with	whether the public
			paragraph 1.8.2 of	interest in
			the Guidelines on	disclosure of such
			Interpretation and	information
			Application)	outweighed any
				harm or prejudice
				that could result
				from disclosure (in
				accordance with

	paragraph 2.1.1 of the Guidelines on Interpretation and Application); if yes, please provide
 	 the details.

2019

019			
(i) Contents of	(ii) Reasons for	(iii) Whether the	(iv) Whether the
requests which	meeting the	decisions on	decisions on
were met in part	requests in part	withholding part of	withholding part of
only	only	the information	the information
		were made at the	had been subjected
		directorate (D1 or	to a "harm or
		D2) level (in	prejudice test", i.e.
		accordance with	whether the public
		paragraph 1.8.2 of	interest in
		the Guidelines on	disclosure of such
		Interpretation and	information
		Application)	outweighed any
			harm or prejudice
			that could result
			from disclosure (in
			accordance with
			paragraph 2.1.1 of
			the Guidelines on
			Interpretation and
			Application); if
			yes, please provide
			the details.

2) Among the information requests made under the Code on Access to Information that have been received but information was withheld by ICAC since October 2018, please provide in table form (i) the contents of requests where information was withheld; (ii) the reasons for withholding the information requested; (iii) whether the decisions on withholding the information were made at the directorate (D1 or D2) level (in accordance with paragraph 1.8.2 of the Guidelines on Interpretation and Application); and (iv) whether the decisions on withholding the information had been subjected to a "harm or prejudice test", i.e. whether the public interest in disclosure of such information outweighed any harm or prejudice that could result from disclosure (in accordance with paragraph 2.1.1 of the Guidelines on Interpretation); if yes, please provide the details.

From October to December 2018

(i) Conten	its of	(ii) Reasons	for	(iii) Whether	the	(iv) Whether	the
requests	where	withholding	the	decisions	on	decisions	on
information	was	information		withholding	the	withholding	the

withheld requested	D2) level (in accordance with paragraph 1.8.2 of	been subjected to a "harm or prejudice test", i.e. whether the public interest in disclosure of such information
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2019

019				
(i) Contents of	(ii) Reasons f	or	(iii) Whether the	(iv) Whether the
requests where	withholding th	he	decisions on	decisions on
information was	information		withholding the	withholding the
withheld	requested		information were	information had
			made at the	been subjected to a
			directorate (D1 or	10
			D2) level (in	
			accordance with	I I
			paragraph 1.8.2 of	
			the Guidelines on	
			Interpretation and	<b>.</b>
			Application)	harm or prejudice
				that could result
				from disclosure (in
				accordance with
				paragraph 2.1.1 of
				the Guidelines on
				Interpretation and
				Application); if
				yes, please provide
		$ \rightarrow $		the details.

3) An individual may ask a department to review the situation if he believes the department has failed to comply with any provision of the Code. Please advise this Committee in each of the past 5 years, (i) the number of review requests received; (ii) among the review requests received in the year, the number of requests where further

information was disclosed after review; and (iii) whether the decisions on review were made at the directorate (D1 or D2) level.

Year of review	(i) Number of	(ii) Among the	(iii) Whether the
requests received	review requests	review requests	decisions on
	received	received in the	
		year, the number	
		of requests where	(D1 or D2) level
		further information	
		was disclosed after	
		review	
2015			
2016			
2017			
2018			
2019			

4) By making reference to the target response times set out in paragraphs 1.16.1 to 1.19.1 of the Guidelines on Interpretation and Application of the Code on Access to Information, please inform this Committee of the following by year in table (and text) form:

(a) Within 10 days from date of receipt of a written request:

	Number of	Number of	Number of	Number of	Number of
	times the	times the	times the	times the	times an
	department	department	department	department	applicant
	could	could not	could not	withheld	did not
	provide the	provide the	provide the	the	accept a
	information	information	information	information	charge,
	requested	requested as	requested as	requested	indicated
		the request	the request	pursuant to	that he did
		involved	was	the	not wish to
		third party	transferred	exemption	proceed
		information	to another	provision	with the
			department	under Part 2	application
			holding the	of the Code	and
			information	on Access	withdrew
			under	to	the
			request	Information	application
2020					
2019					
2018					
2017					
2016					

From 10 to 21 days from date of receipt of a written request:

Number of	Number of	Number of	Number of	Number of
times the	times the	times the	times the	times an
department	department	department	department	applicant

	could	could not	could not	withheld	did not
	provide the	provide the	provide the	the	accept a
	information	information	information	information	charge,
	requested	requested as	1	requested	indicated
		the request	the request	*	
		involved	was	the	not wish to
		third party		exemption	proceed
		information	to another	1	with the
			department	under Part 2	application
			holding the		and
			information	on Access	withdrew
			under	to	the
			request	Information	application
2020					
2019					
2018					
2017					
2016					

From 21 to 51 days from date of r	eceipt of a written	n request:
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10111 21 to 51 ua	ys nom dute of	receipt of a w	much request.		
	Number of	Number of	Number of	Number of	Number of
	times the	times the	times the	times the	times an
	department	department	department	department	applicant
	could	could not	could not	withheld	did not
	provide the	provide the	provide the	the	accept a
	information	information	information	information	charge,
	requested	requested as	requested as	requested	indicated
		the request	the request	pursuant to	that he did
		involved	was	the	not wish to
		third party	transferred	exemption	proceed
		information	to another	provision	with the
			department	under Part 2	application
			•	of the Code	and
			information	on Access	withdrew
			under	to	the
			request	Information	application
2020					
2019					
2018					
2017					
2016					

(b) In the past 5 years, requests failed (to be met) by the department within 21 days from date of receipt:

Date	Subject requested	of	information	Specific reason

(c) In the past 5 years, requests failed (to be met) by the department within 51 days from date of receipt:

Date	Subject requested	of	information	Specific reason

5) Among the requests where information was withheld by the department pursuant to the exemption provision under Part 2 of the Code on Access to Information in the past 5 years, please provide in table form the number of requests where advice was sought from the Privacy Commissioner for Personal Data during the handling process. If there was, did the department accept the advice in full eventually? If the department refused to accept or only partially accepted the advice of the Privacy Commissioner for Personal Data, what were the reasons?

Date	Subject	Under which	Whether the	Reasons for
		exemption	department	refusing to
		provision in	accepted the	accept or only
		Part 2 of the	advice of the	partially
		Code on	Privacy	accepting the
		Access to	Commissioner	advice of the
		Information	for Personal	Privacy
		that the	Data in full?	Commissioner
		department		for Personal
		withheld the		Data
		information?		

Asked by: Hon CHAN Tanya (LegCo internal reference no.: 421)

Reply:

1) From October to December 2018, ICAC did not handle any information requests which were met in part only.

From January to September 2019, ICAC handled a total of 2 information requests which were met in part only. Details are as follows:

(i) Contents of	(ii) Reasons for	(iii) Whether the	(iv) Whether the
requests which	meeting the	decisions on	decisions on
were met in	requests in part	withholding part of	withholding part of
part only	only	the information	the information had
		were made at the	been subjected to a
		directorate (D1 or	"harm or prejudice
		D2) level (in	test", i.e. whether the
		accordance with	public interest in
		paragraph 1.8.2 of	disclosure of such

First case		the Guidelines on Interpretation and Application)	information outweighed any harm or prejudice that could result from disclosure (in accordance with paragraph 2.1.1 of the Guidelines on Interpretation and Application); if yes, please provide the details.
A) Investigation results of a case where the applicant was the complainant	A) Information had been provided	A) Information had been provided	A) Information had been provided
B) A copy of the investigation report of the case	B) Paragraphs 2.6(e), 2.9(c) and 2.14(a) of the Code of Access to Information	B) Yes	B) Yes (the public interest in disclosure of such information did not outweigh any harm or prejudice that could result from disclosure)
Second case			
A) A copy of the investigation information of a case where the applicant was the complainant	A) Information had been provided	A) Information had been provided	A) Information had been provided
<ul> <li>B) Statements of the subject(s) being complained and the witness(es) of the case, and the name of the counsel of</li> </ul>	<ul> <li>B) Paragraphs</li> <li>2.14(a) and</li> <li>2.15 of the</li> <li>Code of</li> <li>Access to</li> <li>Information</li> </ul>	B) Yes	B) Yes (the public interest in disclosure of such information did not outweigh any harm or prejudice that could result from disclosure)

the Department of Justice offering legal advice			
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2) From October to December 2018, ICAC did not handle any requests where information requested by any applicant was withheld.

From January to September 2019, ICAC handled 1 request where the information requested by the applicant was withheld. Details are as follows:

r	ſ		,
(i) Contents of	(ii) Reasons for	· /	(iv) Whether the
requests where	withholding the	decisions on	decisions on
information	information	withholding the	withholding the
was withheld	requested	information were	information had been
		made at the	subjected to a "harm
		directorate (D1 or	or prejudice test", i.e.
		D2) level (in	whether the public
		accordance with	interest in disclosure
		paragraph 1.8.2 of	of such information
		the Guidelines on	outweighed any
		Interpretation and	harm or prejudice
		Application)	that could result
			from disclosure (in
			accordance with
			paragraph 2.1.1 of
			the Guidelines on
			Interpretation and
			Application); if yes,
			please provide the
			details.
A copy of the	Paragraphs 2.6(e)	Yes	Yes (the public
internal report	and 2.9(c) of the		interest in disclosure
of a case where	Code of Access to		of such information
the applicant	Information		did not outweigh any
was the			harm or prejudice
complainant			that could result
			from disclosure)

3) Details of the review requests received by ICAC in the past 5 years are as follows:

Year of review	(i) Number of	(ii) Among the	(iii) Whether the
requests	review requests	review requests	decisions on review
received	received	received in the	were made at the
		year, the number	directorate (D1 or
		of requests where	D2) level

		further information was disclosed after review	
2019 (From Jan	0	0	None
to Sep)			
2018	14	0	Yes
2017	1	0	Yes
2016	1	0	Yes
2015	0	0	None

4)	(a)	The number of requests met	within 10 day	ys from date c	of receipt:
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	Number of	Number of	Number of	Number of	Number of
	times the	times the	times the	times the	times an
	department	department	department	department	applicant
	could	could not	could not	withheld	did not
	provide the	provide the	provide the	the	accept a
	information	information	information	information	charge,
	requested	requested as	requested as	requested	indicated
		the request	the request	pursuant to	that he did
		involved	was	exemption	not wish to
		third party	transferred	provision	proceed
		information	to another	under Part 2	with the
			department	of the Code	application
			holding the	on Access	and
			information	to	withdrew
			under	Information	the
			request		application
2019	3	0	0	0	0
(From					
Jan to					
Sep)					
2018	6	0	0	0	0
2017	1	0	0	0	0
2016	1	1	0	2	0
2015	2	0	0	0	0

The number of requests met from 11 to 21 days from date of receipt:

Number of	Number of	Number of	Number of	Number of
times the	times the	times the	times the	times an
department	department	department	department	applicant
could	could not	could not	withheld	did not
provide the	provide the	provide the	the	accept a
information	information	information	information	charge,
requested	requested as	requested as	requested	indicated
	the request	the request	pursuant to	that he did
	involved	was	exemption	not wish to
	third party	transferred	provision	proceed

		information	to another department holding the information under request	under Part 2 of the Code on Access to Information	with the application and withdrew the application
2019	8	2	0	1	0
(From					
Jan to					
Sep)					
2018	27	0	0	8	0
2017	20	0	0	5	0
2016	0	0	0	0	0
2015	0	0	0	1	0

The number of requests met from 22 to 51 days from date of receipt:

	times the department could	Number of times the department could not provide the	times the department could not	times the department	Number of times an applicant did not accept a
	information	information	information	information	charge,
	requested	requested as	<b>▲</b>	requested	indicated that he did
		the request involved	the request was	pursuant to exemption	not wish to
		third party		provision	proceed
		information	to another	under Part 2	with the
			department	of the Code	••
			holding the information	on Access to	and withdrew
			under	Information	the
			request		application
2019 (From Jan to Sep)	0	0	0	0	0
2018	0	0	0	0	0
2017	3	0	0	2	0
2016	0	0	0	0	0
2015	0	0	0	0	0

(b) From January 2015 to September 2019, ICAC handled a total of 5 requests where information could not be provided within 21 days from date of receipt of request. Those 5 requests were made by the same applicant. As ICAC was required to seek legal advice in relation to the information requested by the applicant, a response was made after more than 21 days.

- (c) From January 2015 to September 2019, ICAC did not handle any requests where information could not be provided within 51 days from date of receipt of request.
- 5) From January 2015 to September 2019, ICAC did not seek advice from the Privacy Commissioner for Personal Data in relation to the requests.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC016

## (Question Serial No. 5331)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	Not Specified
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

Please provide details of the duty visits made by the Commissioner of ICAC in each of the past 5 years and list in table form the following details of each trip in chronological order: (a) purpose, place; (b) post titles of local officials met; (c) number and post titles of Hong Kong officials in entourage; (d) duration; and (e) total expenditure involved; and the respective expenses on (i) travelling (list out the air passage and travelling expenses at the destination); (ii) accommodation; (iii) meals; (iv) banquets or entertainment; (v) the gifts presented.

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 1607)

## Reply:

Details of the Commissioner's duty visits in the past 5 years including date, destination, duration, purpose, number of ICAC officers in entourage and expenditure, as well as official entertainment hosted and souvenirs presented during duty visits are listed as follows:

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Department of Supervision	1	13,911	Air passage: 5,814 Subsistence Allowance/ Others: 8,097	1 ICAC plaque (\$290)
20.5.2015 -	Washington,	8	Visited 3 international	2	226,410	Air passage:	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
27.5.2015	United States and Berlin, Germany		rating institutions in the United States, including RAND Corporation, TRACE International and Heritage Foundation; visited Transparency International (TI) in Germany			159,307 Travelling expenses: 672 Subsistence Allowance/ Others: 66,431	
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities held in Beijing for the 70 <sup>th</sup> anniversary of Chinese people's victory in the war against Japanese invasion	-	7,886	Air passage: 3,760 Subsistence Allowance/ Others: 4,126	-
4.9.2015 – 5.9.2015	Putrajaya, Malaysia	2	Attended the 16 <sup>th</sup> International Anti-Corruption Conference organised by TI and delivered a keynote speech; visited the Vice-Chair of TI, and met with Sri Lanka's Secretary- General for Anti-Corruption and Law Enforcement Agencies upon invitation	1	28,066	Air passage: 17,751 Travelling expenses: 200 Subsistence Allowance/ Others: 10,115	-
29.10.2015 - 1.11.2015	St. Petersburg, Russia	4	Attended the 8 <sup>th</sup> Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) and delivered a keynote speech	1	28,770	Air passage: 15,340 Subsistence Allowance/ Others: 13,430	_
23.11.2015 - 26.11.2015	Nanning, Guangxi, China	4	Attended the 9 <sup>th</sup> China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884 Subsistence Allowance/ Others: 11,140	-
13.3.2016 – 18.3.2016	Singapore and Indonesia	6	Visited anti-corruption and law enforcement agencies in Singapore and Indonesia to foster ties and co-operation in the fight against corruption	4 Total: %	93,689 414,756 for 7 du	Air passage: 42,333 Travelling expenses: 180 Subsistence Allowance/ Others: 51,176	-

	Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
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Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
				(Total No.)	(\$)	(\$)	presented
8.5.2016 - 11.5.2016	Beijing and Tianjin, China	4	Attended the 9 <sup>th</sup> Annual Conference and General Meeting of IAACA held in Tianjin and delivered a keynote speech; visited the Supreme People's Procuratorate (SPP), Ministry of Supervision (MoS) and Tianjin Municipal People's	(1otal No.) 1	(\$) 44,474	(\$) Air passage: 14,680 Travelling expenses: 223 Subsistence Allowance/ Others: 29,571	
			Procuratorate, etc.				
23.5.2016 - 25.5.2016	Guangzhou and Shenzhen, China	3	Visited Guangdong Provincial People's Procuratorate (GDPP), Shenzhen Municipal People's Procuratorate (SMPP), and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the Mutual Case Assistance Scheme	2	19,058	Travelling expenses: 720 Subsistence Allowance/ Others: 18,338	
29.11.2016 - 4.12.2016	Sanya and Hangzhou, China	6	Attended the Prosecutors General Conference of the Member States of Shanghai Co-operation Organisation and	1	28,586	Air passage: 8,738 Subsistence Allowance/ Others: 19,848	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Meeting of the Heads of Prosecution Service of the BRICS States and the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou and delivered speeches on both occasions; visited procuratorates in Hainan and Zhejiang provinces				
26.2.2017 – 1.3.2017	Doha, Qatar	4	Attended the Executive Committee Meeting of IAACA	1	74,018	Air passage: 53,953 Subsistence Allowance/ Others: 20,065	-
	•			Total: \$16	6,136 for 4 duty	,	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
5.5.2017	Macao, China	1	Visited the Macao Commission Against Corruption and the Macao Public Prosecutions Office for mutual exchange of the latest developments of anti-corruption work	5	2,118	Travelling expenses: 2,118	_
2.6.2017- 8.6.2017	Hangzhou and Beijing, China	7	Attended and addressed the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou; visited the Zhejiang	4	108,969	Air passage: 29,200 Subsistence Allowance/ Others: 79,769	1 ICAC plaque (\$290)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Provincial Supervision Committee and Public Security Department; subsequently visited SPP, the Central Commission for Discipline Inspection and				
			Ministry of Supervision, Ministry of Public Security and local procuratorates, etc. in Beijing				
12.7.2017– 14.7.2017	Guangzhou and Shenzhen, China	3	Visited GDPP, SMPP, and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the Mutual Case Assistance Scheme	4	29,351	Air passage: 1,050 Subsistence Allowance/ Others: 28,301	_
30.11.2017-2.12.2017	Shanghai, China	3	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers at China Executive Leadership Academy, Pudong (CELAP); visited Shanghai Municipal People's Procuratorate, discipline inspection and supervision authorities, and public security bodies to learn about the	6	76,290	Air passage: 31,689 Travelling expenses: 324 Subsistence Allowance/ Others: 44,277	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			progress of reform in the supervision mechanism on the Mainland and discuss measures to enhance mutual exchanges and co-operation				
		·		Total: \$216,728 for 4 duty visits			

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
22.4.2018 – 27.4.2018	Yangon, Myanmar and Kuala Lumpur, Malaysia	6	Visited anti-corruption agencies in Myanmar and Malaysia to better understand their anti-corruption regime and training needs, share anti-graft experience, and deliberate on collaboration to enhance capacity building	3	99,513	Air passage: 53,724 Travelling expenses: 278 Subsistence Allowance/ Others: 45,511	2 ICAC plaques (\$1,120) 1 crystal block of the ICAC Building (\$180)
30.5.2018 – 5.6.2018	Beijing, Guangzhou and Shenzhen, China	7	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers in Beijing; visited co-operating agencies in Beijing, Guangzhou and Shenzhen, including the newly established National Commission of Supervision, and officials of the Ministry of Public Security	5	133,539	Air passage: 20,189 Subsistence Allowance/ Others: 113,350	3 ICAC plaques (\$1,680)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.7.2018 – 24.7.2018	Hanoi, Vietnam; Bangkok, Thailand; and Phnom Penh, Cambodia	9	Visited anti-corruption agencies and related organisations in Vietnam, Thailand and Cambodia, including Towards Transparency Vietnam and TI Cambodia, the United Nations Office on Drugs and Crime (UNODC) regional office for Southeast Asia and the Pacific, etc. to better understand their anti-corruption regime and training needs, share anti-graft experience and deliberate on collaboration to enhance capacity building at regional and international levels	4	115,748	Air passage: 28,201 Travelling expenses: 663 Subsistence Allowance/ Others: 86,884	5 ICAC plaques (\$2,800)
14.10.2018 – 24.10.2018	Croatia, Switzerland, Austria and Denmark	11	Attended and spoke at a panel of the High-Level Conference of the Group of States against Corruption of the Council of Europe (GRECO) held in Croatia, and introduced to 20 participating delegations the ICAC's offer of training support services to anti-corruption agencies; visited international rating institutions in Switzerland to	7 (some of the officers in entourage only participated in part of the itinerary)	261,941	Air passage: 156,745 Travelling expenses: 5,799 Subsistence Allowance/ Others: 99,397	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			give update of the probity situation in Hong Kong; chaired the meeting of the Training Committee of IAACA and met with senior officials of UNODC in Austria to make exchanges on the latest developments of international anti-graft work; attended the 18 <sup>th</sup> International Anti-Corruption Conference organised by TI in Denmark, and also met and exchanged views with senior representatives of TI and international organisations	(Total No.)			presented
5.12.2018 – 8.12.2018	Kuala Lumpur and Putrajaya, Malaysia	4	attending the Conference Attended the Executive Committee Meeting and chaired the meeting of the Training Committee of IAACA	3	41,832	Air passage: 26,361 Travelling expenses: 1,555 Subsistence Allowance/ Others: 13,916	_
20.1.2019 – 24.1.2019	Vienna, Austria	5	Attended the 10 <sup>th</sup> Annual Conference and General Meeting of IAACA, reported the work progress of the Training Committee, and delivered a speech at the Conference	2	110,291	Air passage: 77,203 Travelling expenses: 254 Subsistence Allowance/ Others: 32,834	
20.2.2019 – 2.3.2019	Laos, Indonesia and the Philippines	11	Visited anti-corruption agencies and	5	232,814	Air passage: 105,597	4 ICAC plaques (large)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			related organisations in Laos, Indonesia and the Philippines, including the Laos Office of UNODC, Office of Anticorruption and Integrity of the Asian Development Bank, TI Indonesia, etc. to better understand their anti-corruption regime and training needs, share anti-graft experience and deliberate on collaboration to enhance capacity building at regional and international levels			Travelling expenses: 850 Subsistence Allowance/ Others: 126,367	(\$2,240) 2 ICAC plaques (small) (\$396) 2 crystal blocks of the ICAC Building (\$360)
				Total: \$9	995,678 for 7 du	ty visits	

# 2019-20 (as at 29 February 2020)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
9.4.2019 - 12.4.2019	Beijing, China	4	Attended and addressed the closing ceremony of a National Studies Course participated by ICAC senior officers in Beijing; visited co-operating agencies in Beijing, including the National Commission of Supervision, and Ministry of Public Security	5	95,776	Air passage: 41,089 Travelling expenses: 248 Subsistence Allowance/ Others: 54,439	
24.4.2019 – 27.4.2019	Beijing, China	4	Attended the 2 <sup>nd</sup> Belt and Road Forum for		16,344	Air passage: 12,807	_

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
			International Cooperation	(Total No.)	(\$)	(\$) Subsistence Allowance/ Others: 3,537	presented
30.4.2019	Macao, China	1	Visited the Macao Commission Against Corruption to deliberate on collaboration to promote capacity building in the Guangdong- Hong Kong- Macao Greater Bay Area	4	96	Subsistence Allowance/ Others: 96	
14.5.2019 – 17.5.2019	Guangzhou and Shenzhen, China	4	Attended a tri-party meeting with the Guangdong Provincial Commission of Supervision and the Macao Commission Against Corruption held in Guangzhou to deliberate on collaboration to promote integrity building in the Great Bay Area, and visited the anti-corruption agencies in Guangdong, Guangzhou and Shenzhen	5	59,870	Travelling expenses: 1,512 Subsistence Allowance/ Others: 58,358	
24.6.2019 – 29.6.2019	Vancouver, Canada	6	Attended the TRACE Bribery and Economic Crime Summit held in Vancouver, Canada; visited co-operating agencies in Canada, including the Royal Canadian Mounted Police and the British Columbia Regional Office of the Department of Justice, Canada	2	111,195	Air passage: 62,340 Travelling expenses: 152 Subsistence Allowance/ Others: 48,703	1 ICAC plaque (large) (\$560)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
· ·		• • •	•	(Total No.)	(\$)	(\$)	presented
13.7.2019 – 25.7.2019	Bosnia and Herzegovina, Serbia and Romania	12	Visited anti-corruption agencies and non- governmental anti-corruption organisations in Bosnia and Herzegovina, Serbia and Romania, e.g., TI Bosnia and Herzegovina, Transparency Serbia etc., to better understand their anti-corruption regime and training needs, share anti-graft experience and deliberate on collaboration to enhance capacity building at regional and international levels	5	344,692	Air passage: 227,238 Travelling expenses: 2,590 Subsistence Allowance/ Others: 114,864	11 ICAC plaques (small) (\$2,178)
30.9.2019 – 2.10.2019	Beijing, China	3	Visited Beijing in his capacity as a member of the delegation from various sectors in the community to participate in activities to celebrate the anniversary of the founding of the People's Republic of China	_	2,321	Subsistence Allowance/ Others: 2,321	_
2.10.2019 – 6.10.2019	Lausanne, Switzerland	5	Attended the 30 <sup>th</sup> Anniversary Conference of the World Competitiveness Center of the International Institute of Management Development, and made a speech at the Conference on Hong Kong's experience in nurturing talents	2	92,047	Air passage: 64,870 Travelling expenses: 4,595 Subsistence Allowance/ Others: 22,582	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
				(Total No.)	(\$)	(\$)	presented
23.10.2019 - 29.10.2019	Switzerland and Japan	7	of integrity Chaired the meeting of the Training Committee of IAACA held in Switzerland and reported on the Training Committee's work at the Executive Committee meeting, and visited the Basel Institute on Governance to deliberate on collaboration on anti-corruption training; followed by visits to the United Nations Asia and Far East Institute for the Prevention of Crime and the Treatment of Offenders (UNAFEI) to deliberate on collaboration on anti-corruption training and share Hong Kong's graft-fighting experience in the UNAFEI's United Nations Convention Against Corruption (UNCAC) training	3	248,860	Air passage: 167,912 Travelling expenses: 4,848 Subsistence Allowance/ Others: 76,100	2 ICAC plaques (small) (\$396)
2.12.2019 – 4.12.2019	Shanghai, China	3	programmeAttended andaddressed theopeningceremony of aNational StudiesCourseparticipated byICAC juniorofficers inShanghai, andvisited the localanti-corruption	5	62,391	Air passage: 25,263 Travelling expenses: 38 Subsistence Allowance/ Others: 37,090	_
14 12 2010	Aby Dhah:	۷	agencies	Α	102 275	Airpaga	
14.12.2019 -	Abu Dhabi,	6	Attended the 8 <sup>th</sup>	4	102,275	Air passage:	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
19.12.2019	United Arab Emirates		Session of the Conference of the States Parties to UNCAC and hosted a special event to brief participants on Hong Kong's time-tested anti-graft strategy and on the implementation of preventive measures through good governance and systemic risk management			46,875 Travelling expenses: 1,113 Subsistence Allowance/ Others: 54,287	
10.2.2020 - 13.2.2020	Putrajaya and Kuala Lumpur, Malaysia	4	Attended an international symposium held by the Asia-Pacific Economic Cooperation in Putrajaya, and met with representatives of local and international anti-corruption agencies to exchange anti-corruption updates ; discussed details of the IAACA Training Programme with the Malaysia Anti-Corruption Commission	3	30,226	Air passage: 10,130 Travelling expenses: 1,077 Subsistence Allowance/ Others: 19,019	
				Total: \$1,1	66,093 for 12 d	uty visits	

- End -

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC017

## (Question Serial No. 5332)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	0
Programme:	(2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

Please list the number of corruption investigations involving different government departments in the past 5 years, and the number of persons involved, arrested, prosecuted and convicted in those cases.

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 1608)

## Reply:

The number of corruption complaints and pursuable corruption complaints involving government bureaux/departments and the number of government servants arrested, prosecuted and convicted as a result of ICAC's corruption investigations in the past 5 years (2015-2019) are listed in Annexes 1 to 4. ICAC does not maintain statistics on the number of persons involved in each investigation, and therefore cannot provide such information.

# Number of corruption complaints and pursuable corruption complaints received by ICAC involving government bureaux/departments

Government	Corruption Complaints*								
Bureau/Department	2015	2016	2017	2018	2019				
Hong Kong Police Force	221 (138)	241 (148)	209 (144)	200 (138)	182 (134)				
Food & Environmental Hygiene Department	57 (33)	70 (42)	72 (53)	74 (39)	100 (48)				
Housing Department	50 (24)	67 (46)	74 (38)	47 (25)	33 (22)				
Lands Department	60 (39)	75 (35)	39 (29)	42 (23)	33 (22)				
Correctional Services Department	17 (8)	26 (17)	28 (23)	28 (20)	27 (18)				
Leisure & Cultural Services Department	29 (22)	28 (14)	29 (26)	24 (19)	26 (19)				
Hongkong Post	28 (14)	22 (11)	21 (15)	17 (12)	17 (7)				
Customs & Excise Department	20 (15)	18 (8)	12 (8)	15 (11)	17 (14)				
Fire Services Department	26 (11)	33 (15)	26 (18)	33 (20)	14 (8)				
Water Supplies Department	16 (12)	19 (6)	22 (9)	12 (4)	14 (3)				
Social Welfare Department	14 (10)	16 (9)	10 (9)	12 (8)	13 (12)				
Electrical and Mechanical Services Department	12 (12)	8 (6)	7 (4)	11 (6)	13 (10)				
Education Bureau	9 (7)	19 (10)	24 (17)	17 (11)	12 (8)				
Immigration Department	19 (9)	17 (8)	20 (14)	15 (14)	11 (4)				
Home Affairs Department	16 (11)	16 (8)	19 (11)	11 (6)	10 (8)				
Judiciary	13 (4)	13 (2)	16 (4)	10 (7)	10 (9)				
Department of Health	8 (6)	8 (4)	7 (6)	4 (4)	8 (2)				
Buildings Department	21 (13)	26 (14)	21 (19)	14 (7)	6 (4)				
Highways Department	14 (8)	14 (6)	15 (8)	10 (5)	6 (4)				
Labour Department	10 (4)	9 (3)	4 (2)	5 (2)	6(1)				
Civil Engineering and Development Department	7 (5)	6 (1)	4 (4)	7 (1)	5 (2)				
Transport Department	9 (6)	11(5)	7 (5)	11 (7)	4 (3)				
Agriculture, Fisheries and Conservation Department	12 (7)	5 (2)	11 (9)	8 (5)	3 (1)				
Architectural Services Department	8 (5)	7 (2)	6 (3)	12 (3)	2 (1)				

Government	<b>Corruption Complaints*</b>							
Bureau/Department	2015	2016	2017	2018	2019			
Other departments	75 (36)	73 (30)	68 (51)	67 (38)	75 (37)			
Total	771 (459)	847 (452)	771 (529)	706 (435)	647 (401)			

\* Only the government bureaux/departments involved in a yearly average of 5 or more corruption complaints in the past 5 years are listed in the table above.
( ) No. of pursuable corruption complaints

# Number of government servants arrested as a result of ICAC's corruption investigations

Government		No. of ]	Persons Ar	rested*	
Bureau/Department	2015	2016	2017	2018	2019
Hong Kong Police Force	17 <sup>#</sup>	7	10	7#	7#
Food & Environmental Hygiene Department	1	1		2	7
Electrical and Mechanical Services Department	3#	2			4
Fire Services Department		1	3	1	3
Correctional Services Department	1		1	2	2
Government Logistics Department					2
Department of Health	1		1	1	1
Leisure & Cultural Services Department	1	1		1	1
Social Welfare Department				1	1
Immigration Department	2		1		1
Architectural Services Department					1
Planning Department					1
Lands Department			1	7	
Hongkong Post	2	1	3	1	
Water Supplies Department	1	1		1	
Environmental Protection Department				1	
Labour Department				1	
Trade and Industry Department				1	
Housing Department	1		6		

Government		No. of	Persons Ar	rested*	
Bureau/Department	2015	2016	2017	2018	2019
Civil Engineering and Development Department			2		
Highways Department	2 <sup>#</sup>		1#		
Agriculture, Fisheries and Conservation Department			1		
Auxiliary Medical Service	1	3			
Customs & Excise Department	2	1			
Marine Department	1	1			
Inland Revenue Department		1			
Office of the Chief Executive	1				
Total	37	20	30	27	31

\* The figures in the table above refer to the number of persons arrested in the year. The numbers of persons arrested each year do not necessarily originate from the complaints received in the same year, and the persons arrested might not necessarily be prosecuted.

# Some government staff involved were arrested in different cases or at different stages of the same case. The figures above are based on the number of arrests made against them.

# Number of government servants prosecuted as a result of ICAC's corruption investigations

Government		No. of l	Persons Pros	ecuted*	
Bureau/Department	2015	2016	2017	2018	2019
Hong Kong Police Force	3	3	3	3	4
Fire Services Department		1		1	3
Correctional Services Department		1	1		2
Housing Department				2	1
Food & Environmental Hygiene Department	1			1	1
Leisure & Cultural Services Department		1	1		1
Lands Department					1
Department of Health		1		1	
Water Supplies Department		1		1	
Former Economic Development and Labour Bureau				1	
Former Education and Manpower Bureau				1	
Home Affairs Department				1	
Social Welfare Department				1	
Hongkong Post		1	3		
Immigration Department	1		1		
Highways Department			1		
Inland Revenue Department			1		
Marine Department			1		
Architectural Services Department		1			

Government	No. of Persons Prosecuted*					
Bureau/Department	2015	2016	2017	2018	2019	
Customs & Excise Department	7#					
Auxiliary Medical Service	1					
Office of the Chief Executive	1					
Electrical and Mechanical Services Department	1					
Government Logistics Department	1					
Total	16	10	12	13	13	

\* The figures in the table above refer to the number of persons prosecuted in the year. The numbers of persons prosecuted each year do not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

# Among them, 2 Customs officers were prosecuted in different cases. The figures above are based on the number of prosecutions instituted against them.

# Number of government servants convicted as a result of ICAC's corruption investigations

Government		No. of P	ersons Con	victed*	
Bureau/Department	2015	2016	2017	2018	2019
Hong Kong Police Force	5	1	1	6	2
Fire Services Department	1	1			2
Food & Environmental Hygiene Department	1	1		1	1
Housing Department	1			1	1
Former Economic Development and Labour Bureau					1
Lands Department					1
Hongkong Post		1	1	1	
Water Supplies Department			1	1	
Department of Health	4	1		1	
Former Education and Manpower Bureau				1	
Highways Department				1	
Social Welfare Department				1	
Correctional Services Department			2		
Leisure & Cultural Services Department		1	1		
Immigration Department	2		1		
Architectural Services Department			1		
Inland Revenue Department			1		
Marine Department			1		
Auxiliary Medical Service	1				

Government	No. of Persons Convicted*					
Bureau/Department	2015	2016	2017	2018	2019	
Government Logistics Department	1					
Total	16	6	10	14	8	

\* The figures in the table above refer to the number of persons convicted in the year. The numbers of persons convicted each year do not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC018

## (Question Serial No. 5333)

Head:	(72) Independent Commission Against Corruption				
Subhead (No. & title):	0				
Programme:	Not Specified				
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)				
Director of Bureau:	Commissioner, Independent Commission Against Corruption				

Question:

Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your department in the past 5 years.

Department and year

Estimated expenditure on entertainment and gifts in the year

Final actual expenditure respectively on entertainment and gifts in the year

Expenditure limit for entertainment (including beverages) per head in the year

Expenditure limit for gifts per guest in the year

Number of receptions held and total number of guests entertained in the year

2. Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your bureau and the departments under its purview this year.

Bureau/branch/department

Date of reception (year/month/day)

Departments/organisations and titles of guests entertained (grouped by department or organisation and indicating the number of guests)

Food expenses incurred in the reception

Beverage expenses incurred in the reception; gift expenses incurred in the reception

Venue of the reception (department offices/restaurant in government facilities/private restaurant/others (please specify))

3. Please provide in table form details of the following items in relation to the estimated expenditure on entertainment and gifts for next year.

Bureau/branch/department

Estimated provision for entertainment and gifts

Expenditure limit for entertainment per guest

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 1609)

#### Reply:

The expenditure on official entertainment and procurement of souvenirs of the ICAC in the past 5 years was as follows:

Year	Expenditure on	Expenditure on
	official entertainment (\$)	procurement of souvenirs (\$)
2015-16	Around 450,000 <sup>1</sup>	Around $2,500^2$
2016-17	Around 210,000	Nil
2017-18	Around 260,000 <sup>3</sup>	Nil
2018-19	Around 210,000	Around 600 <sup>2</sup>
2019-20	Around 350,000 <sup>4</sup>	Around 100 <sup>2</sup>
(as at 29 February 2020)		

The ICAC follows the Government's relevant regulations as well as administrative guidelines and principles in organising official entertainment. The Government's prevailing expenditure ceilings for lunch and dinner are \$450 and \$600 respectively per head. Adhering to the principle of strict economy in expenses, the ICAC generally does not accept or offer souvenirs or gifts in official events. If it is necessary to exchange souvenirs for protocol reasons, they should be first drawn from the existing stock.

As the ICAC's funding allocation for 2020-21 is still under preparation, the estimates for official entertainment and souvenirs are not yet available.

<sup>&</sup>lt;sup>1</sup> Including about \$280,000 as the official entertainment expenses in relation to the 6<sup>th</sup> ICAC Symposium and the 3<sup>rd</sup> Annual Meeting of Economic Crime Agencies Network held in Hong Kong in 2015; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2015-2016 was around \$170,000.

<sup>&</sup>lt;sup>2</sup> The relevant expenses were for the making of copper plates on the souvenirs and the inscription. As the souvenirs were old stock items, they were not involved in the expenditure for the year.

<sup>&</sup>lt;sup>3</sup> Including about \$90,000 as the official entertainment expenses in relation to the ICAC International Seminar on Financial Investigation held in Hong Kong in 2017; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2017-18 was around \$170,000.

<sup>&</sup>lt;sup>4</sup> Including about \$220,000 as the official entertainment expenses in relation to the 7<sup>th</sup> ICAC Symposium held in Hong Kong in 2019; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2019-20 (as at 29 February 2020) was around \$130,000.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**ICAC019** 

## (Question Serial No. 6068)

Head:	(72) Independent Commission Against Corruption				
Subhead (No. & title):	0				
Programme:	Not Specified				
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)				
Director of Bureau:	Commissioner, Independent Commission Against Corruption				

Question:

a. Please set out the quantity, value and stock of surgical masks produced by the Correctional Services Department (CSI masks) that ICAC obtained from the Government Logistics Department (GLD) each month in the past 3 years in the following table:

Month/Year	No. of CSI masks obtained	Value of CSI masks obtained	Stock of CSI masks

b. Please set out the quantity, value, stock and consumption of surgical masks that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of surgical masks obtained from GLD (value)	No. of surgical masks procured (value)	Stock	Consumption

c. Please set out the quantity, value, stock and consumption of N95 masks that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of N95 masks obtained from GLD (value)	No. of N95 masks procured (value)	Stock	Consumption

d. Please set out the quantity, value, stock and consumption of gowns that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of gowns obtained from GLD (value)	No. of gowns procured (value)	Stock	Consumption

e. Please set out the quantity, value, stock and consumption of protective coverall suits that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of protective coverall suits obtained from GLD (value)	No. of protective coverall suits procured (value)	Stock	Consumption

f. Please set out the quantity, value, stock and consumption of face shields that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of face shields procured	Value of face shields procured	Stock of face shields	Consumption

g. Please set out the quantity, value, stock and consumption of goggles that ICAC obtained from the GLD or procured each month in the past 3 years in the following table:

Month/Year	No. of goggles procured	Value of goggles procured	Stock of goggles	Consumption

h. Did ICAC supply or sell surgical masks, N95 masks, face shields, goggles, gowns and protective coverall suits to other organisations in the past 3 years? If yes, please provide the relevant information, including the quantity, consumption and stock, in the following table:

Month/Year	Name of organisations	Manner of	Surgical masks	N95 masks	Face shields	Goggles	Gowns	Protective coverall
		provision (e.g. sold						suits

or supplied for free)			

i. If ICAC is to supply or sell surgical masks, N95 masks, face shields, goggles, gowns and protective coverall suits to other organisations, what are the departments and the ranks of the officers responsible for making such decisions? Please provide the ranks of the officers involved in each decision, the date they made the decision and other relevant information.

Asked by: Hon MO Claudia (LegCo internal reference no.: 157)

Reply:

(a) - (g) ICAC has received masks (produced by the Correctional Services Department) and other personal protective equipment (PPE) from the Government Logistics Department (GLD) and also procured masks from the market for distribution to its officers.

As there is a surge in the global demand for PPE, the HKSAR Government is facing fierce competition in its procurement work. It is considered inappropriate at this stage to publicly disclose more specific information on the PPE of individual departments, such as the procurement quantity and amount, stock, and consumption so as not to undermine the bargaining power of GLD and various departments in procuring PPE.

(h) - (i) ICAC did not supply or sell surgical masks, N95 masks, face shields, goggles, gowns or protective coverall suits to other organisations in the past 3 years.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

## (Question Serial No. 6542)

Head:	(72) Independent Commission Against Corruption			
Subhead (No. & title):	(000) Operational Expenses			
Programme:	(1) Corruption Prevention			
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)			
Director of Bureau:	Commissioner, Independent Commission Against Corruption			

#### Question:

The provision for 2020-21 is \$9.1 million (10.5%) higher than the revised estimate for 2019-20. As stated by the Commission, this is mainly due to the filling of vacancies and creation of 1 post. Please inform this Committee of:

1. The ranks and the annual salaries of the vacancies to be filled;

2. The rank and the annual salary of the 1 post to be created.

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 17)

## Reply:

The vacancies expected to be filled in 2020-21 include 1 Commission Against Corruption Officer (Upper) and 10 Commission Against Corruption Officer (Middle/Lower) posts, and the total notional annual mid-point salary value of such posts is \$10.72 million. Among the vacancies above is 1 newly created post of Commission Against Corruption Officer (Middle/Lower), of which the notional annual mid-point salary value is \$930,000.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC021

## (Question Serial No. 6543)

Head:	(72) Independent Commission Against Corruption		
Subhead (No. & title):	0		
Programme:	(1) Corruption Prevention		
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)		
Director of Bureau:	Commissioner, Independent Commission Against Corruption		

#### Question:

On 23 December 2019, the HKSAR Government announced that 5 public officers were recommended to participate in the United Nations (UN) Junior Professional Officer Programme and take up positions in the UN agencies. Among the 5 public officers, 1 of them is a relative of a principal official. Please inform this Committee:

1. in the entire recommendation and selection process in the HKSAR Government, whether ICAC was aware that 1 of the candidates is a relative of a principal official;

2. if it was, of the measures adopted by ICAC to prevent the candidate concerned from having a higher chance of being selected for her kinship with the principal official, and of the expenditure involved in adopting such measures;

3. if it was not, of the measures to be adopted and the funding earmarked by ICAC to prevent similar incidents that will adversely affect public perception of Hong Kong as a clean city from happening, so as to achieve ICAC's targets under policy programmes "Corruption Prevention" and "Enlisting Support".

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 18)

## Reply:

Other than the ICAC officer, ICAC was not involved in the selection of other candidates, i.e. the other 4 public officers.

With regard to the prevention and handling of conflict of interests that may arise when a public officer is discharging his official duties, the Government has all along put in place a specific and effective mechanism for declaration of interests.

When implementing publicity and education initiatives targeted at public officers, such as organising thematic seminars and talks for public officers of different ranks, producing reference packages and developing a web learning portal, the Community Relations Department of ICAC has reminded them to avoid conflict of interests when discharging their official duties. The preventive education work mentioned above is part of ICAC's regular duties. The resources involved are included in the general operating expenses.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC022

## (Question Serial No. 6569)

Head:	(72) Independent Commission Against Corruption		
Subhead (No. & title):	(002) Allowances		
Programme:	Not Specified		
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)		
Director of Bureau:	Commissioner, Independent Commission Against Corruption		

Question:

Regarding the disciplined services quarters for ICAC officers, please provide the following information:

(1) Please provide in table form (i) the number of ICAC officers provided with disciplined services quarters; and (ii) its percentage of the total number of ICAC officers in the financial year 2019-20.

(ii) Percentage of the total number of ICAC officers

(2) Please provide in table form (i) the estimated number of ICAC officers to be provided with disciplined services quarters; and (ii) its percentage of the total number of ICAC officers in the financial year 2020-21.

(i) Estimated number of ICAC officers to be provided with disciplined services quarters	6

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 229)

Reply:

The ICAC departmental quarters (DQ) are open for application by all eligible local married departmental grades officers who are employed on Local Agreement Terms and remunerated at or below ICAC Pay Scale Point 42 or on Common Agreement Terms or New Agreement Terms of Service and remunerated below ICAC Pay Scale Point 28.

Subject to the availability of resources, ICAC conducts DQ allocation exercises in accordance with the established procedures and allocates DQs of appropriate grades and categories to applicants with the highest points under the quartering points system. The information requested is tabulated as follows:

(1) As at 1 March 2020, (i) the number of ICAC officers allocated with DQs and (ii) its percentage of the total number of eligible officers in the Commission are tabulated below:

(i) Number of officers allocated with DQs	(ii) Percentage of the total number of eligible ICAC officers
224	59%

(2) For 2020-21, (i) the estimated number of officers to be allocated with DQs and (ii) its percentage of the total number of eligible officers in the Commission are tabulated below:

(i) Estimated number of officers to be allocated with DQs	(ii) Percentage of the total number of eligible ICAC officers
226	59%

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC023

## (Question Serial No. 6741)

Head:	(72) Independent Commission Against Corruption
Subhead (No. & title):	(000) Operational expenses
Programme:	(2) Operations
Controlling Officer:	Commissioner, Independent Commission Against Corruption (Simon YL PEH)
Director of Bureau:	Commissioner, Independent Commission Against Corruption

#### Question:

According to the Programme, a total of 1 738 pursuable corruption complaints (excluding election-related complaints) were received by ICAC in 2019, representing a decrease of about 14% compared with 2 015 complaints received in 2018. Separately, 590 pursuable election-related corruption complaints were received during the year, among which 70 were related to the Rural Representative Election held in January 2019 and 492 related to the District Council Election held in November 2019. In this connection:

1) Please list the number of complaints involving different government departments. Which is the government department with the highest number of complaints?

2) How many complaints were related to the July 21 incident in Yuen Long? What progress has been made in the handling of these complaints? Can the performance target of completing investigations of pursuable corruption complaints within 12 months be met?

Asked by: Hon CHAN Chi-chuen (LegCo internal reference no.: 249)

## Reply:

(1) The numbers of corruption complaints and pursuable corruption complaints involving different government bureaux/departments in 2019 are tabulated below:

Government Bureau/Department	Corruption Complaints	Pursuable Corruption
		Complaints
Hong Kong Police Force	182	134
Food and Environmental Hygiene Department	100	48
Housing Department	33	22
Lands Department	33	22
Correctional Services Department	27	18
Leisure and Cultural Services Department	26	19
Customs and Excise Department	17	14
Hongkong Post	17	7
Fire Services Department	14	8
Water Supplies Department	14	3
Social Welfare Department	13	12
Electrical and Mechanical Services Department	13	10
Education Bureau	12	8
Registration and Electoral Office	11	9
Immigration Department	11	4
Judiciary	10	9
Home Affairs Department	10	8
Department of Health	8	2
Department of Justice	7	3
Highways Department	6	4
Buildings Department	6	4
Labour Department	6	1
Government Logistics Department	5	3
Civil Aid Service	5	2
Civil Engineering and Development Department	5	2
Marine Department	5	1
Other departments*	51	24
Total	647	401

\* The government bureaux/departments involved in 5 or more corruption complaints in 2019 are listed in the table above. There are 24 other government bureaux/departments each involved in less than 5 corruption complaints last year.

(2) With regard to the July 21 incident in Yuen Long, ICAC has confirmed earlier that complaints have been received from members of the public, which will be followed up in a serious manner in accordance with the law. On grounds of confidentiality, it is inappropriate for ICAC to make further comment.